

## Models of computation

Let's say that we have two programs  $A$  and  $B$ . If they behave in the same way on all input it is natural to say that they are equivalent.

A model of computation is an abstract and usually simplified way of describing algorithms. The idea is that, given any algorithm  $A$  in any programming language or any other way of presenting algorithms, there should be an algorithm  $A'$  in the computational model such that  $A$  and  $A'$  are equivalent.

Even if two algorithms are equivalent they can have different running times.

A model of computation will be useful when we:

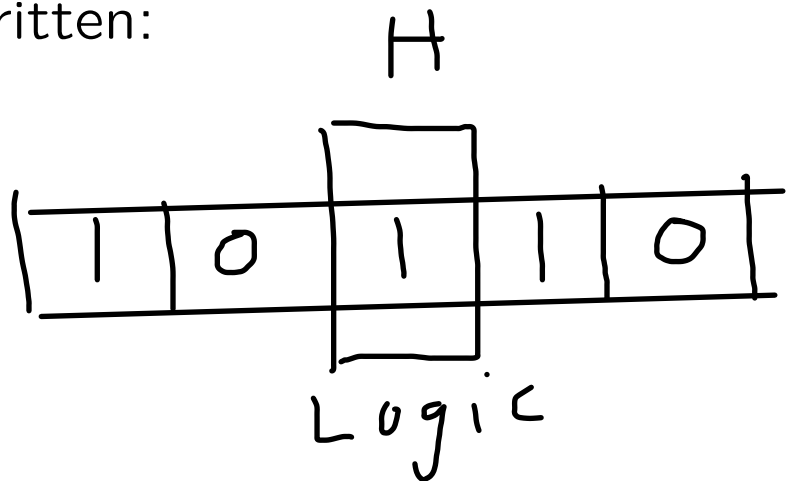
- \* Want to define exactly what the complexity for an algorithm is.
- \* Want to find the limits for what algorithms can do.
- \* Prove Cook's theorem.

One of the oldest but still most useful models of computation is the Turing Machine.

## The Turing Machine

We will use a very simplified model of computation. It's the *Turing Machine*.

We will consider data as a semi-finite tape with 0 and 1 written:

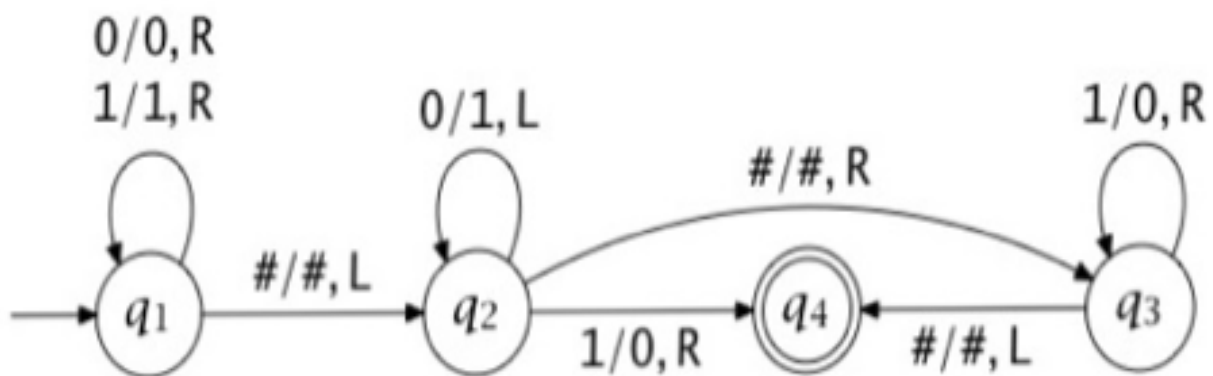


Reading and writing can be done one digit at a time. The "Head" can be moved just one step to the right or left at a time.

The logic tells us how the head should be moved and what should be written on the tape. The logic consists of a finite set of states and a finite set of transition rules.

## Example of a Turing Machine

The following TM reads the number  $x$  on binary form from the tape and changes it to  $\max(x - 1, 0)$ .



Notation:

- Circles correspond to states
- Double circles correspond to accepting states
- Arrows indicates transition rules:
- $a/b, L$  means "if the head reads  $a$ , do the transition, write  $b$  and move the head one to the left"  
( in  $a/b, R$   $R$  means move to the right)

The arrow with no starting node indicates the state the machine starts in.

## Rules for the Turing Machine

- The machine starts in the starting state.
- At start the head reads the first symbol to the left in the input string. The input is marked off by empty positions (indicated by #).
- There must not be several different transitions from the same state reading the same symbol (determinism).
- If the machine gets into an accepting state the computation ends and the machine returns "Yes".
- If the machine gets into a state and reads a symbol with no matching transition the computation ends and the machine returns "No".

The previous rules describe computations when the answer is yes/no. Turing Machines can do other computations as well. The first example shows this. ( The algorithm that computes  $\max(x-1, 0)$ .) This is an algorithm of the form  $A(n) = m$ , where  $n$  and  $m$  are integers. As we have seen, Turing Machines can handle them in a rather natural way.

## Formal description

A Turing Machine is defined by

- The alphabet  $\Sigma$  (must be finite)
- The set  $Q$  of states (must be finite)
- The start state  $q_0 \in Q$
- The set  $F \subseteq Q$  of accepting states
- The transition relation  
 $\Delta \subseteq Q \times \Sigma \times Q \times \Sigma \times \{L, R, S\}$

## Church's thesis

*Any algorithmic problem that can be solved by any program written in any language and run on any computer can be solved by a Turing Machine.*

- The Halting Problem is undecidable even for Turing Machines.
- The Turing Machine can be used as a computational model for reasoning about uncomputability.
- The Halting Problem is undecidable in any computational model powerful enough to simulate a Turing Machine.

The computational model RAM is Turing Equivalent as are all modern programming languages.

## **Equally powerful variants of the Turing Machine**

- A different (finite) alphabet.
- Separate tap for output.
- Several different tapes.
- Several different heads.
- Half-infinite tape (infinite in just one direction).

All these variants are equivalent to normal Turing Machine in the sense that the running time differ by at most a polynomial factor.



## Non-deterministic Turing Machines

- In the non-deterministic case there can be several possible transitions from a state and a given symbol. In that case, the machine makes a non-deterministic choice.
- If there is a sequence of choices leading to an accepting state we say that the machine *accepts*.
- If there is no sequence of choices leading to an accepting state we say that the machine *rejects*.

## Non-determinism cont.

Non-deterministic Turing Machines can be used to define NP:

This class contains exactly the problems (or rather their languages) to which there is a non-deterministic TM that accepts in polynomial time.

**NP** = Non-deterministic **P**olynomial time

One believes that non-deterministic machines are more powerful than deterministic ones in the sense that:

**P**  $\neq$  **NP**.

## Cook's Theorem

Cook's Theorem says that the problem SAT is NP-Complete.

Input to SAT is a propositional logic formula  $\Phi$  and the problem is to decide if the formula is satisfiable or not.

### Proof of Cook's Theorem ( Sketch):

SAT  $\in$  **NP** since, given an variable assignment, we can check in polynomial time if the formula is satisfied or not.

We must show that SAT Is NP-Hard, i.e. if  $Q' \in$  **NP** then  $Q' \leq_P$  SAT.

Since  $Q' \in$  **NP** there is a non-deterministic Turing Machine  $M$  that accepts the language  $Q'$  in at most  $kn^c$  steps where  $n$  is the number of variables.

Proof idea:

Construct a formula such that it is satisfied if and only if  $M$  accepts the input string.

We assume that  $M$  has an input tape that is infinite to the right and uses the alphabet  $\{0, 1, \#\}$ .

We enumerate  $M$ 's time steps from 1 to  $kn^c$ . At each time step  $t$  the computation is described by

- the position of the head
- the state  $q$
- the content of the tape in positions  $1 - kn^c$

In our formula we use the following variables:

$$x_{qt} \quad q \in Q, 1 \leq t \leq kn^c$$

$$y_{ijt} \quad i \in \{0, 1, \#\}, 1 \leq j \leq kn^c, 1 \leq t \leq kn^c$$

$$z_{jt} \quad 1 \leq j \leq kn^c, 1 \leq t \leq kn^c$$

Interpretation:

$$x_{qt} = 1 \quad \text{iff } M \text{ is in state } q \text{ at time } t$$

$$y_{ijt} = 1 \quad \text{iff the symbol } i \text{ is in position } j \text{ at time } t$$

$$z_{jt} = 1 \quad \text{iff the head stands in position } j \text{ at time } t$$

If there is an accepting computation for  $M(a_1, \dots, a_n)$  running  $kn^c$  steps, then this corresponds to:

1. The computation starts with  $a_1, \dots, a_n$
2.  $x, y, z$  describes a correct computation
3. The computation ends in an accepting state.

All these constraints can be expressed by a single SAT-Formula of size polynomial in  $n$ .

This gives us a reduction  $Q \leq_P SAT$  for every NP-Problem  $Q$  and this shows that SAT is NP-Complete.

## The universal Turing Machine

To every Turing Machine  $T$  we can associate the code  $k(T)$  of the machine. If we have input  $x$  we say that  $T(x)$  is the result of the computation whatever form  $x$  might have. It is possible to construct a Turing Machine  $U$  that take two strings as input such that

$U(k(T), x) = T(x)$  for all Turing Machines  $T$ .

This means that  $U$  can simulate every Turing Machine.

It little informal, we can write  $U(T, x) = T(x)$ .



