

# A Dichotomy for Real Weighted Holant Problems

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## Abstract

Holant is a framework of counting characterized by local constraints. It is closely related to other well-studied frameworks such as  $\#CSP$  and Graph Homomorphism. An effective dichotomy for such frameworks can immediately settle the complexity of all combinatorial problems expressible in that framework. Both  $\#CSP$  and Graph Homomorphism can be viewed as sub-families of Holant with the additional assumption that the equality constraints are always available. Other sub-families of Holant such as  $Holant^*$  and  $Holant^c$  problems, in which we assume some specific sets of constraints to be freely available, were also studied. The Holant framework becomes more expressive and contains more interesting tractable cases with less or no freely available constraint functions, while, on the other hand, it also becomes more challenging to obtain a complete characterization of its time complexity. Recently, complexity dichotomy for a variety of sub-families of Holant such as  $\#CSP$ , Graph Homomorphism,  $Holant^*$  and  $Holant^c$  were proved. The dichotomy for the general Holant framework, which is the most desirable, still remains open. In this paper, we prove a dichotomy for the general Holant framework where all the constraints are real symmetric functions. This setting already captures most of the interesting combinatorial problems defined by local constraints, such as (perfect) matching, independent set, vertex cover and so on. This is the first time a dichotomy is obtained for general Holant Problems without any auxiliary functions.

One benefit of working with Holant framework is some powerful new reduction techniques such as Holographic reduction. Along the proof of our dichotomy, we introduce a new reduction technique, namely realizing a constraint function by approximating it. This new technique is employed in our proof in a situation where it seems that all previous reduction techniques fail, thus this new idea of reduction might also be of independent interest. Besides proving dichotomy and developing new technique, we also obtained some interesting by-products. We prove a dichotomy for  $\#CSP$  restricting to instances where each variable appears a multiple of  $d$  times for any  $d$ . We also prove that counting the number of Eulerian-Orientations on  $2k$ -regular graphs is  $\#P$ -hard for any  $k \geq 2$ .

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# 1 Introduction

In order to study the complexity of counting problems, several interesting frameworks characterizing local properties have been proposed. One is called counting Constraint Satisfaction Problems ( $\#$ CSP) [6, 7, 5, 8, 22, 29, 26, 27, 12]. Another well studied framework is called  $H$ -coloring or Graph Homomorphism, which can be viewed as a special case of  $\#$ CSP problems [9, 10, 11, 23, 24, 25, 31, 34]. Recently, inspired by Valiant’s Holographic Algorithms [46, 45], a new refined framework called Holant Problems [15, 17] was proposed. One reason such frameworks are interesting is because the language is *expressive* enough so that they can express many natural counting problems, while *specific* enough so that we can prove *dichotomy theorems* (i.e., every problem in the class is either in P or  $\#$ P-hard) [20]. Having a dichotomy is an important property for these languages since in general, Ladner [39] proved that if  $P \neq NP$ , or in our case  $P \neq \#P$ , then such a dichotomy for NP (or  $\#P$ ) is *false*.

We give a brief description of the Holant framework here and a more formal definition is given in Section 2. A *signature grid*  $\Omega = (G, \mathcal{F}, \pi)$  is a tuple, where  $G = (V, E)$  is an undirected graph,  $\mathcal{F}$  is a set of functions. In this paper, we study the case where the functions map sets of Boolean variables to some value. Usually, the range of the functions are complex numbers  $\mathbb{C}$  or real numbers  $\mathbb{R}$  as in [6, 7, 8, 22, 29, 26, 27, 15, 17], but it is also interesting to consider functions with finite range, such as counting the number of solutions modulo some integer  $k$ , as studied in [47, 44, 42, 28, 32]. The mapping  $\pi : V \rightarrow \mathcal{F}$  labels each  $v \in V(G)$  with a function  $f_v \in \mathcal{F}$ , where the arity of  $f_v$  equals the degree of  $v$ . We consider all edge assignments (0-1 assignments in this paper, since we are considering Boolean functions). An assignment  $\sigma$  for every  $e \in E$  gives an evaluation  $\prod_{v \in V} f_v(\sigma|_{E(v)})$ , where  $\sigma|_{E(v)}$  denotes the substring of  $\sigma$  where only bits corresponding to incident edges of  $v$  are chosen. The counting problem on the instance  $\Omega$  is to compute

$$\text{Holant}_{\Omega} = \sum_{\sigma} \prod_{v \in V} f_v(\sigma|_{E(v)}).$$

We use the notation  $\text{Holant}(\mathcal{F})$  to denote the class of Holant problems where all functions are taken from  $\mathcal{F}$ . For example, consider the PERFECT MATCHING problem on  $G$ . This problem corresponds to attaching the EXACT-ONE function at every vertex of  $G$  — for each 0-1 edge assignment, the product evaluates to 1 when the assignment is a perfect matching, and 0 otherwise, therefore summing over all 0-1 edge assignments gives us the number of perfect matchings in  $G$ . If we use the AT-MOST-ONE function at every vertex, then we count all (not necessarily perfect) matchings. This framework can also express the partition function of a system, which is well studied in the statistical physics community, see for example the Ising model [35].

The Holant framework is closely related to other frameworks such as  $\#$ CSP and Graph Homomorphism. In fact, in some sense, the Holant framework provides a unified perspective for different frameworks of counting problems. For example, the  $\#$ CSP framework can be viewed as a special case of the Holant framework in which equality relations of any arity are always assumed to be available in addition to the stated constraints. A dichotomy for complex weighted Boolean  $\#$ CSP was discovered and proved with the help from the study of general Holant problem [17]. On the other hand,  $\#$ CSP excludes the expression of certain important problems such as graph matchings, which, in contrast, are expressible in the Holant framework. Besides  $\#$ CSP, another two important special families are Holant\* Problems, in which we assume that all unary functions are available, and Holant<sup>c</sup> Problems, where we only assume two special unary functions — IS-ZERO function  $\mathbf{0}$  and IS-ONE function  $\mathbf{1}$  — to be available. For all the above families, dichotomy for complex symmetric functions were proved [17, 13]. However, a dichotomy for general Holant family remains open before the current work. The framework becomes more expressive in this general setting and, as we proved in this work, there are more tractable families. On the other hand, the proof for a dichotomy also became more challenging. A major source of difficulty is the lack of flexibility when we construct gadgets for reduction. One exception is a dichotomy for the general Holant framework for symmetric function in the field  $\mathbb{Z}_2$  [33]. A couple of recent works studied the complexity of Holant on regular graphs where all the vertices take a same function [16, 38, 13, 14]. These works

can be viewed as a dichotomy for Holant without freely available functions but have the constraint that  $\mathcal{F}$  only contains one single function. In these papers, due to the lack of freely available equality functions or unary function, the reduction become much more difficult and even sometimes require assist from computer [16]. The underlying goal of these two sequences of works is to finally get a dichotomy for Holant.

This work achieves this final goal at least partially. We prove a dichotomy theorem for Holant problem where all functions are symmetric (the values of the functions only depend on the Hamming weight of their inputs) and take real values. Real symmetric functions already capture most of the interesting combinatorial problems and physical system problems. This is the first dichotomy for the Holant framework for a broad set of functions without assuming any freely available functions. Our work uses previous results as our starting points. And we believe that it is an important step to finally achieve the goal to characterize the complexity of Holant problems for any function set  $\mathcal{F}$  (complex weighted and asymmetric).

One of the main innovation in this work is a new way of doing reduction between counting problems. In previous works as well as our current work, there are three extensively-used reduction methods: (1) gadget construction, (2) polynomial interpolation, and (3) holographic transformation. However, due to the special structure of some functions, we might be in the case that all possible gadget constructions give trivial functions, therefore classical reduction methods might not work well. In Section 5, we introduce a new reduction method — realizing a function by approximating it with sufficient precision. The main idea is to construct a series of gadgets that converge to another gadget extremely fast with only a polynomial overhead, so that we would be able to recover the true value in polynomial time by solving a constant dimension integer programming. Although this is still some kind of gadget construction, we do not, and probably cannot, realize the target function precisely. It is also different from polynomial interpolation in that in polynomial interpolation, the number of gadgets one constructs is usually linear in the size of the instance, which is not affordable in our construction because the gadget size grows exponentially, while the new approximation technique only needs a logarithmic number of gadgets due to the fast convergence rate.

Another contribution of this work is a dichotomy for  $\#\text{CSP}$  where each variable appears a multiple of  $d$  times, for any positive integer  $d$ . We found some tractable cases which are  $\#\text{P}$ -hard for general  $\#\text{CSP}$ . These new cases are still closely related to the tractable cases for the general  $\#\text{CSP}$ , and we characterize them in terms of holographic transformation.

We also prove that counting Eulerian orientation for  $2k$ -regular graphs is  $\#\text{P}$ -hard. Note that the notion of Eulerian orientation is different from Eulerian circuits in that the former only considers the direction of the edges and thus different Eulerian circuits may correspond to a same Eulerian orientation. Previously, similar problems have been studied, such as counting Eulerian orientation and counting Eulerian circuits in general graphs [41, 3], and Eulerian circuits in regular graphs [30]. All of them were shown to be  $\#\text{P}$ -hard. However, to the best of our knowledge, there is no previous result on counting Eulerian orientation in regular graphs, and we are not aware of any direct reductions between counting Eulerian orientations and counting Eulerian circuits. Instead, we use polynomial interpolation to reduce the calculation of Tutte Polynomial at certain points to counting Eulerian orientation. The construction is easy to analyze in the Holant framework. One of the intriguing part of this problem is that it arises as a very special case along our proof for which all reduction methods — including the approximation approach we introduced here — failed. Hence, this problem may also serve as a new starting point of reduction in future research.

## 2 Preliminaries

In this section, we recall some basic definitions and results. Let  $\mathcal{F}$  be a set of functions. A *signature grid* is a tuple  $\Omega = (G, \mathcal{F}, \pi)$ , where  $G = (V, E)$  is an undirected graph, and  $\pi : V \rightarrow \mathcal{F}$  labels each  $v \in V$  with a function  $f_v \in \mathcal{F}$  where the arity of  $f_v$  equals the degree of  $v$ . The Holant problem on

instance  $\Omega$  is to compute

$$\text{Holant}_{\Omega} = \sum_{\sigma: E \rightarrow \{0,1\}} \prod_{v \in V} f_v(\sigma|_{E(v)}),$$

a sum over all 0-1 edge assignments, of the products of the function evaluations at each vertex. Given a set of functions  $\mathcal{F}$ , we define the problem  $\text{Holant}(\mathcal{F})$ :

- Input: A *signature grid*  $\Omega = (G, \mathcal{F}, \pi)$ ;
- Output:  $\text{Holant}_{\Omega}$ .

We would like to characterize the complexity of Holant problems in terms of its function set  $\mathcal{F}$ .

A function  $f_v$  can be represented as a truth table. For functions with complex values, it will be more convenient to denote it as a tensor in  $(\mathbb{C}^2)^{\otimes \deg(v)}$ , or a vector in  $\mathbb{C}^{2^{\deg(v)}}$ , when we perform holographic transformations. We also call it a *signature*. Replacing a signature  $f \in \mathcal{F}$  by a constant multiple  $cf$ , where  $c \neq 0$ , does not change the complexity of  $\text{Holant}(\mathcal{F})$ , so we view  $f$  and  $cf$  as the same signature. A function  $f$  on  $k$  Boolean variables is *symmetric* if the value of the function depends only on the number of inputs that is assigned 1 (also known as the Hamming weight of the input), and can be expressed by  $[f_0, f_1, \dots, f_k]$ , where  $f_j$  is the value of  $f$  on inputs of Hamming weight  $j$ . Thus, for example, we can express the following unary functions IS-ZERO  $\mathbf{0} = [1, 0]$ , IS-ONE  $\mathbf{1} = [0, 1]$ . We denote by  $=_k$  the EQUALITY signature of arity  $k$ , then  $(=_k) = [1, 0, \dots, 0, 1]$  (with  $(k-1)$  0's). The binary disequality could be written as  $[0, 1, 0]$ . A signature is degenerate iff it is a tensor product of unary signatures. In particular, a symmetric signature in  $\mathcal{F}$  is degenerate iff it can be expressed as  $\lambda[x, y]^{\otimes k}$ .

Some special families of Holant problems have already been widely studied. For example, if  $\mathcal{F}$  contains all EQUALITY signatures  $\{=_1, =_2, =_3, \dots\}$ , then this is exactly the weighted #CSP problem. In [17], the following two special families of Holant problems were introduced by assuming some signatures are freely available. Let  $\mathcal{U}$  denote the set of all unary signatures. Then we define  $\text{Holant}^*(\mathcal{F}) = \text{Holant}(\mathcal{F} \cup \mathcal{U})$ . We use  $\text{Holant}^c(\mathcal{F})$  to denote the problem  $\text{Holant}(\mathcal{F} \cup \{\mathbf{0}, \mathbf{1}\})$ .

There are several special classes of functions. A symmetric signature  $[f_0, f_1, \dots, f_k]$  is called a *generalized Fibonacci signature* if there exist  $a, b$  not both zero such that for all  $i = 0, \dots, k-2$  we have  $af_i + bf_{i+1} - af_{i+2} = 0$ . A  $k$ -ary function  $f(x_1, \dots, x_k)$  is *affine* if there exists a  $k+1$  column Boolean matrix  $A$ , a set of dimension  $k+1$  Boolean vectors  $\{\alpha_1, \dots, \alpha_n\}$ , some complex number  $c \neq 0$ , such that  $f$  could be represented as  $c\chi_{AX=0} i^{\sum_{j=1}^n \langle \alpha_j, X \rangle}$  where  $X = (x_1, x_2, \dots, x_k, 1)$ ,  $\langle \cdot, \cdot \rangle$  is the inner product of two vectors,  $i$  is the imaginary unit with  $i^2 = -1$ , and  $\chi$  is an indicator function such that  $\chi_{AX=0}$  is 1 iff  $AX = 0$ . Note that both the matrix multiplication  $AX$  and the inner product are calculated in  $\mathbb{Z}_2$ . We use  $\mathcal{A}$  to denote the set of all affine functions. We use  $\mathcal{P}$  to denote the set of functions which can be expressed as a product of unary functions, binary equality functions and binary disequality functions. These two families capture exactly tractable #CSP problems.

**Theorem 2.1.** [17] *Let  $\mathcal{F}$  be a set of functions mapping Boolean inputs to complex numbers. Then #CSP( $\mathcal{F}$ ) is #P-hard unless  $\mathcal{F} \subseteq \mathcal{A}$  or  $\mathcal{F} \subseteq \mathcal{P}$ , in which case the problem is in P.*

To introduce the idea of holographic reductions, it is convenient to consider bipartite graphs. This is without loss of generality. For any general graph, we can make it bipartite by adding on each edge an additional vertex labeled with the EQUALITY function  $=_2$  on 2 inputs.

We use  $\text{Holant}(\mathcal{G}|\mathcal{R})$  to denote all counting problems, expressed as Holant problems on bipartite graphs  $H = (U, V, E)$ , where each signature for a vertex in  $U$  or  $V$  is from  $\mathcal{G}$  or  $\mathcal{R}$ , respectively. An input instance for the bipartite Holant problem is a bipartite signature grid and is denoted as  $\Omega = (H, \mathcal{G}|\mathcal{R}, \pi)$ . Signatures in  $\mathcal{G}$  are denoted by column vectors (or contravariant tensors); signatures in  $\mathcal{R}$  are denoted by row vectors (or covariant tensors) [21].

One can perform (contravariant and covariant) tensor transformations on the signatures. We will define a simple version of holographic reductions, which are invertible. Suppose  $T \in \mathbf{GL}_2(\mathbb{C})$  is a basis. We say that there is an (invertible) holographic reduction from  $\text{Holant}(\mathcal{G}|\mathcal{R})$  to  $\text{Holant}(\mathcal{G}'|\mathcal{R}')$ ,

if the *contravariant* transformation  $G' = T^{\otimes g}G$  and the *covariant* transformation  $R = R'T^{\otimes r}$  map  $G \in \mathcal{G}$  to  $G' \in \mathcal{G}'$  and  $R \in \mathcal{R}$  to  $R' \in \mathcal{R}'$ , and vice versa, where  $G$  and  $R$  have arity  $g$  and  $r$  respectively. Suppose that there is a holographic reduction from  $\text{Holant}(\mathcal{G}|\mathcal{R})$  to  $\text{Holant}(\mathcal{G}'|\mathcal{R}')$ , mapping signature grid  $\Omega$  to  $\Omega'$ , then  $\text{Holant}_{\Omega} = \text{Holant}_{\Omega'}$ . In particular, for invertible holographic reductions from  $\text{Holant}(\mathcal{G}|\mathcal{R})$  to  $\text{Holant}(\mathcal{G}'|\mathcal{R}')$ , one problem is in P iff the other one is in P, and similarly one problem is #P-hard iff the other one is also #P-hard.

In the study of Holant problems, we will often transfer between bipartite and non-bipartite settings. When this does not cause confusion, we do not distinguish signatures between column vectors (or contravariant tensors) and row vectors (or covariant tensors). Whenever we write a transformation as  $T^{\otimes n}F$  or  $T\mathcal{F}$ , we view the signatures as column vectors (or contravariant tensors); whenever we write a transformation as  $FT^{\otimes n}$  or  $\mathcal{F}T$ , we view the signatures as row vectors (or covariant tensors).

Regarding models of computation for real numbers, strictly speaking we should restrict it to computable numbers [37, 1], or algebraic numbers. However this issue seems not essential for our result, and we will state our theorems assuming that we can compute  $+$ ,  $\times$  and solve linear equations in polynomial time for all real numbers used. If restricted to algebraic numbers, our proof in Section 5 can be simplified. But we do not restrict our result by exploiting the special properties of algebraic numbers.

### 3 Main Dichotomy Theorem and Proof Outline

For simplicity of statement, we define the following property for signature sets.

**Definition 3.1.** *A set of signatures  $\mathcal{F}$  is called good if there exists a  $2 \times 2$  complex matrix  $T$  such that one of the following conditions is satisfied:  $\mathcal{F}T^{-1} \subseteq \mathcal{A}$  and  $T^{\otimes 2}[1, 0, 1]^T \in \mathcal{A}$ ; or  $\mathcal{F}T^{-1} \subseteq \mathcal{P}$  and  $T^{\otimes 2}[1, 0, 1]^T \in \mathcal{P}$ .*

Our main theorem is the following.

**Theorem 3.2.** *Let  $\mathcal{F}$  be a set of symmetric signatures on Boolean variables with real values. Then  $\text{Holant}(\mathcal{F})$  is #P-hard unless the arity of any non-degenerate signature in  $\mathcal{F}$  is no more than 2 or  $\mathcal{F}$  is good, in which case it is computable in polynomial time.*

**Proof Outline:** If the arity of any non-degenerate signature in  $\mathcal{F}$  is no more than 2, then  $\text{Holant}(\mathcal{F})$  is obviously tractable. The tractability of good  $\mathcal{F}$  follows directly from the tractability of  $\#\text{CSP}(\mathcal{A})$  and  $\#\text{CSP}(\mathcal{P})$  after applying transformation under  $T$ . Therefore, we only need to prove the hardness part and we can assume that  $\mathcal{F}$  contains a non-degenerate signature whose arity is at least 3.

Our starting point is Theorem 4.5, which states that the dichotomy holds if  $\mathcal{F}$  contains a non-degenerate ternary function. To prove this, we use the relationship between Holant problems and #CSP problems. In some cases, we need a dichotomy for special #CSP problems where variables appear a multiple of 3 times. A general dichotomy for such #CSP is proved in Section 4.

The idea then is to realize a non-degenerate ternary function. In the previous dichotomy for  $\text{Holant}^*$  or  $\text{Holant}^c$  problems, this step is easy because the freely available functions such as IS-ZERO and IS-ONE enable us to realize sub-signatures with small arity. In our case, however, there is no longer freely available unary signature. We can only use signatures from the given set. Probably the simplest gadget one could construct is by adding self loops. For a signature with arity  $k$ , we can construct a signature with arity  $k - 2$  by adding a self loop. If the new signature is degenerate, then it has some very special structure and we can deal with that separately. Otherwise, we have constructed a smaller signature which is still non-degenerate. Repeat this process of adding self loop, and we will finally have a non-degenerate signature of arity 3 or 4, depending on the parity of  $k$ . The ternary case is proved in Theorem 4.5. It is not directly applicable for arity 4 case since we would not be able to construct any signature of odd arity from signatures of arity 4. We handle this in Theorem 6.3.

The idea of proving Theorem 6.3 is to realize degenerate binary signatures. A degenerate binary signature can be viewed as two unary signatures and in this sense we can realize a ternary function with the help of this unary signature. As stated in Lemma 6.1, we can show that the dichotomy holds if we have a non-degenerate 4-ary signature and one non-zero unary function. Similar to the ternary case, the proof makes use of the relation between Holant and #CSP.

The main remaining work is to realize a non-zero degenerate binary signature. We generalize the polynomial interpolation technique to achieve this. However, there are cases when this method fails, and for those cases, we use our new reduction tool of approximating. This is done in Section 5. There is one exceptional case, namely  $[1, 0, 1/3, 0, 1]$ . We prove its hardness in Appendix C. By holographic reduction, this problem is equivalent to the COUNTING-EULERIAN-ORIENTATION in 4-regular graphs, which can be proved to be #P-hard.

**Remark:** We note that our main dichotomy for Holant is only for real valued functions. However, the dichotomy for the #CSP where variables appear a multiple of  $d$  times is for complex numbers. This is necessary to make it useful in the proof of our main dichotomy. Even starting from real Holant problem, we may come to the field of complex number after some holographic transformation. There are several places in the proofs in Section 6 where we use some special properties of real numbers. We believe that the most essential part is polynomial interpolation. To make the interpolation work, we need that the ratio of the two eigenvalues of a  $2 \times 2$  symmetric matrix is not a root of unity. For a real symmetric matrix, its two eigenvalues are also real. Since the only real roots of unity are  $\pm 1$ , we can handle these two exceptions by a careful case-by-case analysis. For complex matrices, there are infinitely many exceptions. Overcoming this difficulty and extending our result to complex field is an interesting open question.

## 4 #CSP where variables appear a multiple of $d$ times

In this section, we consider a special family of #CSP problem, where the number of occurrences of each variable must be a multiple of  $d$  times ( $d$  is a given constant). For example, we can consider all the #CSP instance where each variable appears even number of times. We use  $\#CSP^d(\mathcal{F})$  to denote this problem. Clearly, if  $\#CSP(\mathcal{F})$  is polynomial time computable, then so is  $\#CSP^d(\mathcal{F})$ .

However, the reverse is not necessarily true. We use  $\mathcal{T}_d$  to denote set  $\left\{ \begin{bmatrix} 1 & 0 \\ 0 & \omega \end{bmatrix} \mid \omega^d = 1 \right\}$ . Then

applying any  $T \in \mathcal{T}_d$  to  $\mathcal{F}$  will not change the value of a  $\#CSP^d(\mathcal{F})$  instance and as a result will not change the complexity of  $\#CSP^d(\mathcal{F})$ . For example,  $\#CSP^3([1, \omega_3, -\omega_3^2])$ , where  $\omega_3$  is the primitive third root of unity, is computable in polynomial time since  $\#CSP^3([1, 1, -1])$  is. On the other hand, note that  $\#CSP([1, \omega_3, -\omega_3^2])$  is #P-hard without the additional constraints on the number of occurrences of variables. For symmetric function set  $\mathcal{F}$ , we prove a dichotomy for  $\#CSP^d(\mathcal{F})$  which shows that these are essentially the only new tractable cases.

**Theorem 4.1.** *Let  $d \geq 1$  be an integer and  $\mathcal{F}$  be a set of symmetric functions taking complex values. Then  $\#CSP^d(\mathcal{F})$  is #P-hard unless there exist  $T \in \mathcal{T}_{4d}$  such that  $(T\mathcal{F}) \subset \mathcal{P}$  or  $(T\mathcal{F}) \subset \mathcal{A}$ , in which case the problem is in P.*

The following Theorem in [13] gives a reduction between #CSP and Holant, which will be used here as a starting point.

**Theorem 4.2.** *Consider the bipartite Holant instance  $\text{Holant}([1, 0, 0, 1] \cup \mathcal{G}_1 | \mathcal{G}_2)$ . We assume that  $\mathcal{G}_2$  contains a non-degenerate binary signature  $[y_0, y_1, y_2]$ . And in the case of  $y_0 = y_2 = 0$ , we further assume that  $\mathcal{G}_2$  contains a unary signature  $[a, b]$ , where  $ab \neq 0$ . Then  $\text{Holant}([1, 0, 0, 1] \cup \mathcal{G}_1 | \mathcal{G}_2)$  is #P-hard unless there exist a  $T \in \mathcal{T}_3$  such that  $\mathcal{G}_1 T \cup T^{-1} \mathcal{G}_2 \subset \mathcal{P}$  or  $\mathcal{G}_1 T \cup T^{-1} \mathcal{G}_2 \subset \mathcal{A}$ , in which cases the problem is in P.*

Before proving Theorem 4.1, we prove in Lemma 4.3 that the conclusion holds if we have IS-ZERO  $([1, 0])$  and IS-ONE  $([0, 1])$  in addition. For general #CSP, one can assume freely available

$[1, 0]$  and  $[0, 1]$  by the nice pinning Lemma from [22]. This is not obviously true for  $\#\text{CSP}^d$ . In Appendix B, we show that we can still effectively realize the idea of pinning by a similar idea used in [19]. Then Theorem 4.1 follows directly from the following lemma.

**Lemma 4.3.** *Let  $d \geq 1$  be an integer and  $\mathcal{F}$  be a set of symmetric functions taking complex values. Then  $\#\text{CSP}^d(\mathcal{F} \cup \{[1, 0], [0, 1]\})$  is  $\#\text{P}$ -hard unless there exist  $T \in \mathcal{T}_{4d}$  such that  $(T\mathcal{F}) \subset \mathcal{P}$  or  $(T\mathcal{F}) \subset \mathcal{A}$ , in which case the problem is in  $\text{P}$ .*

We give a sketch of proof here, some details are omitted due to space limitation. The full proof can be found in Appendix B.

**Proof Sketch.** We use the following bipartite Holant problem to express  $\#\text{CSP}^d(\mathcal{F} \cup \{[1, 0], [0, 1]\})$

$$\text{Holant}(\{=_{d, =_{2d}, \dots, \dots}\} | \mathcal{F} \cup \{[1, 0], [0, 1]\}).$$

We first show the tractability part. Let  $T \in \mathcal{T}_{4d}$  be the matrix such that  $(T\mathcal{F}) \subset \mathcal{P}$  or  $(T\mathcal{F}) \subset \mathcal{A}$ . Applying a holographic reduction on the above problem under basis  $T^{-1}$ , we have

$$\text{Holant}(\{=_{d, =_{2d}, \dots, \dots}\} | \mathcal{F} \cup \{[1, 0], [0, 1]\}) \equiv_T \text{Holant}(\{=_{d, =_{2d}, \dots, \dots}\} T^{-1} | (T\mathcal{F}) \cup \{[1, 0], [0, 1]\}).$$

Since  $\{=_{d, =_{2d}, \dots, \dots}\} T^{-1} \subset \mathcal{P} \cap \mathcal{A}$ , we have that either all the signatures involved in the above Holant problem are in  $\mathcal{P}$  or all the signatures involved in the above Holant problem are in  $\mathcal{A}$ . The polynomial time algorithm follows directly from that.

Now we prove the hardness part. We realize  $\{[1, 0], [0, 1]\}$  on the LHS with the equality signatures on the LHS and the  $[1, 0]$ 's and  $[0, 1]$ 's on the RHS. We can then realize any sub-signature on the RHS. The overall idea now is to analyze the substructure of functions.

If all the binary sub-signatures of signatures in  $\mathcal{F}$  are degenerate, then  $\mathcal{F} \subset \mathcal{P}$  and we are done. Now we assume that we can realize a non-degenerate binary  $[y_0, y_1, y_2]$  on the RHS.

Let  $f := [f_0, f_1, \dots, f_r]$  be a function in  $\mathcal{F}$ . If there exists some  $i \in \{0, \dots, r-1\}$  such that  $f_i f_{i+1} \neq 0$ , then we realize subsignature  $[f_i, f_{i+1}]$ . We then construct  $[*, 0, 0, *]$  and transform it to  $[1, 0, 0, 1]$  under some diagonal matrix  $M$  and apply Theorem 4.2 to complete this case.

Now we assume that for every  $f := [f_0, f_1, \dots, f_r] \in \mathcal{F}$  and every  $i \in \{0, \dots, r-1\}$  we have  $f_i f_{i+1} = 0$ . Without loss of generality (details in Appendix B), we assume that we can construct a non-degenerate ternary signature  $f$  of form  $[0, f_1, 0, f_3]$  where  $f_1 \neq 0$ , or  $[0, 1, 0, a]$  after scale. If  $a = 0$ , we prove  $\#\text{P}$ -hardness by using the construction in Figure 2 to reduce the  $\#\text{P}$ -hard problem  $\#\text{CSP}([1, 1, 0])$  to it. If  $a \neq 0$ , then we can realize  $[1, 0, a]$  on the RHS. If  $d$  is odd, we connect  $\frac{3d-3}{2}$  copies of  $[1, 0, a]$  to  $=_{3d}$  to realize  $[1, 0, 0, a^{\frac{3d-3}{2}}]$  on the LHS. We apply a suitable holographic transformation to make it into  $[1, 0, 0, 1]$  and apply Theorem 4.2. We can complete the proof similarly if  $d$  is even and there exists a non-degenerate signature of form  $[*, 0, 0, 0, \dots, 0, *]$  with odd arity on the RHS.

Now we assume that  $d$  is even, and that all non-degenerate signatures of form  $[*, 0, \dots, 0, *]$  on the RHS have even arity. By grouping  $d$  copies of  $[1, 0, a, 0]$ , we can reduce the problem  $\#\text{CSP}([0, 1, 0, a^d])$  to  $\#\text{CSP}^d([0, 1, 0, a])$ . Since  $[0, 1, 0, a^d] \notin \mathcal{P}$ , we have that  $\#\text{CSP}^d([0, 1, 0, a])$  is  $\#\text{P}$ -hard unless  $[0, 1, 0, a^d] \in \mathcal{A}$ , which implies that  $a^d = \pm 1$ . We apply a holographic reduction under some suitable basis  $T \in \mathcal{T}_{4d}$  to transform  $[0, 1, 0, a]$  on the RHS to  $[0, 1, 0, 1]$ . Note that  $T \in \mathcal{T}_{4d}$  since  $(a^{\frac{d}{2}})^{4d} = (\pm 1)^2 = 1$ , so all the  $=_{4kd}$  on the LHS will remain unchanged. We realize  $[1, 0, 1]$  from  $[0, 1, 0, 1]$ , and then realize all of  $\{=_{2, =_{4, =_{6}, \dots, \dots}\}$  on the LHS with it. Since we have  $=_2$  on both sides now, we reduce the following non-bipartite Holant problem to the original problem.

$$\text{Holant}(\{=_{2, =_{4, =_{6}, \dots, \dots}\} \cup T\mathcal{F} \cup \{[1, 0], [0, 1], [0, 1, 0, 1]\}).$$

So it is enough to show that this problem is  $\#\text{P}$ -hard unless  $(T\mathcal{F}) \subset \mathcal{A}$ . To this end, we first show that if we can find in  $T\mathcal{F}$  a signature of form  $[*, 0, 0, 0, \dots, 0, *]$  of even arity but is not in  $\mathcal{A}$ , then the problem is  $\#\text{P}$ -hard. We realize  $[1, 0, b]$  by connecting it to a suitable equality function. Similar to the above, we group signatures together to achieve reduction from general  $\#\text{CSP}$ . The difference is that here we use one copy of  $[1, 0, b]$  and one copy of  $[1, 0, 1]$  to form a group and

realize a signature  $[1, 0, b]$  in the grouped instance, and reduce  $\#\text{CSP}([0, 1, 0, 1], [1, 0, b])$  to this and conclude that it is  $\#\text{P}$ -hard if  $b^4 \neq 1$ . Using a same flavor of grouping, we prove a similar result for signatures of form  $[*, 0, *, 0]$  and  $[0, *, 0, *]$  — they are  $\#\text{P}$ -hard unless they are in  $\mathcal{A}$ , i.e., the ratio of the two nonzero elements is  $\pm 1$ . Extending this result to general signatures, we conclude that either the whole signature is in  $\mathcal{A}$  or we can construct a longer sub-signature that are multiples of  $[1, 0, 1, 0, -1]$  or  $[1, 0, -1, 0, -1]$  after scale. For those two signatures, it is not hard to construct gadgets not in  $\mathcal{A}$  and therefore they are  $\#\text{P}$ -hard.  $\square$

In [13], we proved the following dichotomy for single ternary signature. Note that we omitted one additional tractable case here since it cannot happen for real signatures.

**Theorem 4.4.** *Let  $[x_0, x_1, x_2, x_3]$  be a real non-degenerate signature. Then  $\text{Holant}([x_0, x_1, x_2, x_3])$  is  $\#\text{P}$ -hard unless there exists a  $2 \times 2$  matrix  $T$  such that  $[x_0, x_1, x_2, x_3] = T^{\otimes 3}[1, 0, 0, 1]$  and  $[1, 0, 1]T^{\otimes 2}$  is in  $\mathcal{A} \cup \mathcal{P}$ .*

We now prove that the main dichotomy holds if  $\mathcal{F}$  contains a non-degenerate ternary signature.

**Theorem 4.5.** *Let  $\mathcal{F}$  be a set of real signatures, and  $X$  be a real non-degenerate ternary signature.  $\text{Holant}(X, \mathcal{F})$  is  $\#\text{P}$ -hard unless  $\mathcal{F} \cup \{X\}$  is good, for which case there is a polynomial-time algorithm.*

*Proof.* If  $\text{Holant}(X)$  is  $\#\text{P}$ -hard according to Theorem 4.4, then we are done. Otherwise we take  $T$  as guaranteed in Theorem 4.4, and we have  $\text{Holant}(X, \mathcal{F}) \equiv_T \text{Holant}([1, 0, 0, 1], T^{-1}\mathcal{F} | [1, 0, 1]T^{\otimes 2})$  by applying holographic reduction. We also have that  $[1, 0, 1]T^{\otimes 2}$  is non-degenerate. If  $[1, 0, 1]T^{\otimes 2}$  is not of form  $[0, \lambda, 0]$ , we are done by Theorem 4.2. Otherwise, we have  $[0, 1, 0]$  on the RHS and can realize all the equalities of arity  $3k$  on the LHS. Then we can view it as a  $\#\text{CSP}^3$  problem and we are done by Theorem 4.1.  $\square$

For a signature with arity larger than 3, it is not necessarily true that we can transform it to  $[1, 0, 0, 0, 1]$  by holographic reduction. But for some special signatures, we can. Formally, we have the following two corollaries.

**Corollary 4.6.** *Let  $X$  be a real non-degenerate generalized Fibonacci signature of arity no less than 3 and  $\mathcal{F}$  be a set of symmetric signatures. Then  $\text{Holant}(\mathcal{F}, X)$  is  $\#\text{P}$ -hard unless  $\mathcal{F} \cup \{X\}$  is good, for which case there is a polynomial-time algorithm.*

*Proof.* For a real non-degenerate generalized Fibonacci signature, there is an orthogonal holographic reduction that transforms it into the form of  $[1, 0, 0, \dots, 0, a]$  where  $a \neq 0$  after scale. By Theorem A.3, we assume that this is already the case with  $X$ . If the arity of  $X$  is odd, we realize  $[1, 0, 0, a]$  by adding some self-loops and then apply Theorem 4.5. If the arity is even, we connect two copies of the signature using half of their dangling edges to realize  $[1, 0, 0, \dots, 0, a^2]$  with same arity. Keep doing this, and we can realize  $[1, 0, 0, \dots, 0, a^t]$  for any  $t$ . If  $a$  is a  $p$ -th root of unity, we get  $[1, 0, 0, \dots, 0, 1]$  by choosing  $t = p$ . Otherwise, we get  $[1, 0, 0, \dots, 0, 1]$  by interpolation. Having  $[1, 0, 0, \dots, 0, 1]$ , we can realize all equality functions with even arity and the result follows from Theorem 4.1.  $\square$

**Corollary 4.7.** *Let  $X = [x, y, -x, -y, x, y, -x, -y \dots]$  be a non-degenerate signature of arity  $k \geq 3$ , and  $\mathcal{F}$  be a set of symmetric signatures. Then  $\text{Holant}(\mathcal{F}, X)$  is  $\#\text{P}$ -hard unless  $\mathcal{F} \cup \{X\}$  is good, for which case there is a polynomial-time algorithm.*

*Proof.* Rewrite  $\text{Holant}(\mathcal{F}, X)$  as  $\text{Holant}(\mathcal{F}, X | =_2)$ . Applying holographic reduction under basis  $Z = \begin{bmatrix} A & Bi \\ Ai & B \end{bmatrix}$  with suitable  $A$  and  $B$ , we can make  $X$  into  $=_k$  where  $k$  is the arity of  $k$  and  $=_2$  on the RHS into  $[0, 1, 0]$ . With  $[0, 1, 0]$  on the RHS, we can realize all the equality function whose arity is a multiple of  $k$  on the LHS. Then we can apply Theorem 4.1 for  $\#\text{CSP}^k$ .  $\square$



## 5 Realizing a signature by approximating it

In this section, we study  $\text{Holant}([1, a, b, -a, 1] \cup \mathcal{F})$ . We first show that we could always find an orthogonal transformation  $Q$  that converts  $[1, a, b, -a, 1]$  to  $[1, 0, b', 0, 1]$  for some  $b'$ .

**Claim 5.1.** *There exists a real orthogonal  $2 \times 2$  matrix  $Q$ , such that  $[1, a, b, -a, 1]Q^{\otimes 4} = [1, 0, b', 0, 1]$  for some  $b'$ .*

This is proved by straightforward calculations and the proof could be found in Appendix D.

Claim 5.1 converts  $\text{Holant}([1, a, b, -a, 1] \cup \mathcal{F})$  to  $\text{Holant}([1, 0, b', 0, 1] \cup (\mathcal{F}Q))$ . In the following, we simply assume that we are given  $\text{Holant}([1, 0, b, 0, 1] \cup \mathcal{F})$ . If  $b \in \{0, 1, -1\}$ , we are done by Corollary 4.6 and Corollary 4.7. For  $b \notin \{0, 1, -1\}$ , we will prove that  $\text{Holant}([1, 0, b, 0, 1])$  is  $\#P$ -hard, and these together give the following main lemma of this section.

**Lemma 5.2.** *Let  $X = [1, a, b, -a, 1]$  be a non-degenerate signature. Then  $\text{Holant}(\mathcal{F}, X)$  is  $\#P$ -hard unless  $\mathcal{F} \cup \{X\}$  is good, for which case there is a polynomial-time algorithm.*

In the remaining of this section, we prove the hardness of  $\text{Holant}([1, 0, b, 0, 1])$ .

**Lemma 5.3.** *If  $b \notin \{0, 1, -1\}$ , then  $\text{Holant}([1, 0, b, 0, 1])$  is  $\#P$ -hard.*

To prove this lemma, observe that if we can realize  $[1, 0, 0, 0, 1]$ , then we can use it to simulate  $\text{CSP}^2([1, 0, b, 0, 1])$ , which is  $\#P$ -hard by Theorem 4.1 and the fact  $b \notin \{0, 1, -1\}$ . If we can realize  $[1, 0, 1, 0, 1]$ , then we can apply orthogonal transformation under  $\begin{bmatrix} 1 & 1 \\ 1 & -1 \end{bmatrix}$  to convert  $[1, 0, 1, 0, 1]$  to  $[1, 0, 0, 0, 1]$  and  $[1, 0, b, 0, 1]$  to  $[2 + 6b, 0, 2 - 2b, 0, 2 + 6b]$ . To see that  $[2 + 6b, 0, 2 - 2b, 0, 2 + 6b]$  is among the hard cases in Theorem 4.1, note that  $|2 + 6b| \neq |2 - 2b|$  if  $b \notin \{0, 1, -1\}$ , so it could not be transformed into  $\mathcal{A}$  by  $T \in \mathcal{T}_{4d}$ . It is also not hard to verify that  $T \notin \mathcal{P}$ .

In the following, we introduce two new techniques for realizing special signatures  $[1, 0, 0, 0, 1]$  or  $[1, 0, 1, 0, 1]$ . First, we generalize the widely-used interpolation technique to enable us to interpolate 4-ary signatures instead of unary signatures in the traditional setting. This generalization is already powerful enough for almost all  $b$ . The failed  $b$  are roots of some integer coefficient polynomials and thus must be algebraic numbers. So we have that  $\text{Holant}([1, 0, b, 0, 1])$  is  $\#P$ -hard if  $b$  is a transcendental real number. The idea of the proof is similar to the interpolation of unary signatures. Details could be found in Appendix D. For the cases when  $b$  is an algebraic real number, we use our second new technique to realize a signature by approximating it. Here is the formal statement.

**Theorem 5.4.** *Let  $f = [x_0, \dots, x_k]$  be a symmetric Boolean signature of arity  $k$  and  $\{g_m\}$  be a sequence of signatures of arity  $k$ . We assume that all the signature values are real algebraic numbers, and there exists a constant  $C > 1$  such that for all  $m$ , we have  $|f - g_m|_\infty < C^{-m}$ . If we can compute  $\text{Holant}(g_m)$  in time  $\text{poly}(n, m)$ , where  $n$  is the input size, then we can compute  $\text{Holant}(f)$  in polynomial time.*

We give a sketch of proof here. Full proof could be found in Appendix D.

**Proof Sketch:** Holant value of any instance of  $\text{Holant}(f)$  can be written as an integer combination of a fixed number of algebraic numbers. We call the set of these integer combinations  $S$ . Using a property of algebraic numbers, we prove that the difference of any two distinct elements in  $S$  is at least  $B^{-n^2}$ , where  $B > 1$  is an absolute constant depending only on  $f$ . Now consider replacing  $f$  with  $g_m$  in a Holant instance. The difference in the final Holant value is at most  $Dn^2C^{-m}$ , where  $D$  is an absolute constant only depending on  $f$ . Therefore, we can choose  $m = En^2$  with sufficient large constant  $E$  only depending on  $D$  and  $B$  (thus  $f$ ) such that  $Dn^2C^{-m} < B^{-n^2}$ . This  $\text{Holant}(g_m)$  can be computed in time polynomial of  $n$  and  $m$  which is a polynomial in  $n$ . Using the above property, we know that the true Holant value  $\text{Holant}(f)$ , which is an element in  $S$ , is  $Dn^2C^{-m}$  close to the value returned by  $\text{Holant}(g_m)$  and in that neighborhood there is no other point from  $S$ . So we can use integer programming to recover all the integer coefficients and find out

this unique value. Since the number of coefficients (variables for the programming) are constant, we can use the integer programming algorithm from [40, 4] which runs in polynomial time.  $\square$

In the following, we use the above reduction to study the complexity of  $\text{Holant}([1, 0, b, 0, 1])$ . For  $[1, 0, b, 0, 1]$ , using the Tetrahedron gadget in Figure 1, we realize a new symmetric signature:  $[(b+1)^2(3b^2-2b+1), 0, 2b^2(b+1)^2, 0, (b+1)^2(3b^2-2b+1)]$ . Assume that  $b \neq -1$ . Keep doing this recursive construction, we can realize a signature  $[1, 0, b_r, 0, 1]$  with  $b_r = \frac{2b_{r-1}^2}{3b_{r-1}^2 - 2b_{r-1} + 1}$ . The following lemma shows that this recursive construction converges to a fixed point very fast. The proof can be found in Appendix D.

**Lemma 5.5.** *Let  $b$  be a real algebraic number,  $b \neq 0, b \neq \pm 1, b \neq \frac{1}{3}$ . Let  $[1, 0, b_r, 0, 1]$  be the signature realized by the  $r$ -th recursive Tetrahedron gadget starting from  $[1, 0, b, 0, 1]$ . Let  $\beta = 0$  if  $b < \frac{1}{3}$ , and  $\beta = 1$  otherwise. Then  $|b_r - \beta| < C^{-2^r}$ , where  $C < 1$  is some constant. In other words, the recursive construction either converges to  $[1, 0, 0, 0, 1]$  or  $[1, 0, 1, 0, 1]$ , depending on whether  $b$  is smaller than  $\frac{1}{3}$  or not.*

The  $r$ -th gadget contains  $4^r$  nodes. We do the recursive gadget  $O(\log n)$  levels so it is still of polynomial size. We note that this is the reason why we cannot use the tetrahedron gadget to interpolate since we would need polynomial many levels. The speed of convergence in Lemma 5.5 is so fast that we can approximate the target gadget to within  $C^{-\text{poly}(n)}$  by a gadget with  $O(\log n)$  levels of recursive construction. Then by Theorem 5.4 and the above analysis, we get the hardness for  $\text{Holant}([1, 0, b, 0, 1])$  when  $b \notin \{0, 1, -1, \frac{1}{3}\}$ . To complete the proof for Lemma 5.3, the only remaining case is  $[1, 0, \frac{1}{3}, 0, 1]$ . This is done in Lemma C.8 of Appendix C by a reduction to counting number of Eulerian-Orientations on 4-regular graphs.

## 6 Dichotomy for Real Holant

In this section we prove our main result. The idea of the proof is to use induction on the arity of the functions. We apply dichotomy theorems for functions with smaller arity for the induction step. The base step would be dichotomy theorems for functions of arity three and four. The ternary case is proved in Theorem 4.5 in Section 4. In this section, we go on to analyze complexity of signatures of arity four. We start with the following lemma in which we have an additional unary signature.

**Lemma 6.1.** *Let  $X$  be a non-degenerate real 4-ary signature and  $a, b$  be two real number which are not both zero. Then  $\text{Holant}(\mathcal{F}, X, [a, b])$  is  $\#P$ -hard unless  $\mathcal{F} \cup \{X, [a, b]\}$  is good.*

*Proof.* Since  $a, b$  are not both zero, we could always apply a real orthogonal transformation  $Q$ , so that  $Q[a, b] = [1, 0]$ . Let  $Y = Q^{\otimes 4}X = [y_0, y_1, y_2, y_3, y_4]$ . Note that  $Y$  is still a real signature. Since it always has the same value as  $\text{Holant}(\mathcal{F}, X, [a, b])$ , it is equivalent to consider  $\text{Holant}(Q\mathcal{F}, Y, [1, 0])$ . Since we have  $[1, 0]$  in this transformed instance, we could realize  $Y' = [y_0, y_1, y_2, y_3]$ . If  $Y'$  is non-degenerate, we apply Theorem 4.5. Now consider the case that  $Y'$  is degenerate. If  $Y'$  is an all zero signature, then  $Y$  is degenerate, which means that  $X$  is degenerate, contradicts to our hypothesis. If  $Y' = [1, 0]^{\otimes 3}$ , then  $Y = [1, 0, 0, 0, *]$  is a non-degenerate generalized Fibonacci signature and we apply Corollary 4.6. If  $Y' = [0, 1]^{\otimes 3}$ , by adding a self-loop, we can realize  $[0, 1]$ . Since we have both  $[1, 0]$  and  $[0, 1]$ , we apply the dichotomy theorem for  $\text{Holant}^c$ . Otherwise, assume that  $Y' = [1, t]^{\otimes 3}$ , where  $t \in \mathbb{R} \setminus \{0\}$ , and  $Y = [1, t, t^2, t^3, y]$ , where  $y \neq t^4$ . Connecting three copies of  $[1, 0]$  to  $Y$ , we can realize  $[1, t]$ . Connecting one copy of  $[1, t]$  to  $Y$ , we have  $Y'' = [1 + t^2, t + t^3, t^2 + t^4, t^3 + yt]$ . This is a non-degenerate ternary function for any real  $t$  and  $y \neq t^4$ . We now apply Theorem 4.5 to finish the proof.  $\square$

By a similar argument as in Lemma B.2, we can replace  $[a, b]$  with  $[a, b]^{\otimes 2}$ .

**Lemma 6.2.** *Let  $X$  be a non-degenerate real 4-ary signature and  $a, b$  be two real number which are not both zero. Then  $\text{Holant}(\mathcal{F}, X, [a, b]^{\otimes 2})$  is  $\#P$ -hard unless  $\mathcal{F} \cup \{X, [a, b]^{\otimes 2}\}$  is good.*

We now prove a theorem for Holant problems when we have a non-degenerate 4-ary function.

**Theorem 6.3.** *Let  $X$  be a non-degenerate 4-ary signature, and  $\mathcal{F}$  be a set of signatures. Then  $\text{Holant}(X, \mathcal{F})$  is  $\#P$ -hard unless  $\mathcal{F} \cup \{X\}$  is good, for which there is a polynomial-time algorithm.*

*Proof.* As usual, the tractability part follows from algorithms for  $\#CSP$ . We prove the hardness part. The main idea is to realize a degenerate binary function and make use of Lemma 6.2.

By adding a self-loop to  $X$ , we have  $X' = [x_0 + x_2, x_1 + x_3, x_2 + x_4]$ . If  $X'$  is all zero, then we have  $X = [x_0, x_1, -x_0, -x_1, x_0]$  and we apply Corollary 4.7. If  $X' = [x_0 + x_2, x_1 + x_3, x_2 + x_4]$  is degenerate and not all zero, then we apply Lemma 6.2 directly.

Now we assume that  $X'$  is non-degenerate. We make a polynomial interpolation by a chain of  $X'$ 's. The eigenvalues of  $X' = \begin{bmatrix} x_0 + x_2 & x_1 + x_3 \\ x_1 + x_3 & x_2 + x_4 \end{bmatrix}$  are  $\lambda_{1,2} = \frac{(x_0 + 2x_2 + x_4) \pm \sqrt{\Delta}}{2}$  where  $\Delta = (x_4 - x_0)^2 + 4(x_1 + x_3)^2$ . By a chain of  $X'$ 's, we can realize  $P \begin{bmatrix} \lambda_1^k & 0 \\ 0 & \lambda_2^k \end{bmatrix} P^{-1}$ , where  $P$  is the basis formed by its eigenvectors. We already know that  $\lambda_1 \lambda_2 \neq 0$  since  $X'$  is non-degenerate. If we further have that the ratio  $\frac{\lambda_1}{\lambda_2}$  is not a root of unity, we can interpolate all the binary signatures expressible as  $P \begin{bmatrix} x & 0 \\ 0 & y \end{bmatrix} P^{-1}$ . In particular, we can interpolate  $P \begin{bmatrix} 1 & 0 \\ 0 & 0 \end{bmatrix} P^{-1}$ , which is a degenerate non-zero binary signature. We are done by Lemma 6.2.

The exceptional case is that the ratio  $\frac{\lambda_1}{\lambda_2}$  is a root of unity. Since  $X'$  is a real symmetric function, both  $\lambda_1$  and  $\lambda_2$  are real. So the only possible roots of unity are  $\pm 1$ . We have that  $\lambda_1 = \lambda_2$  iff  $\Delta = 0$  iff  $x_4 = x_0$  and  $x_1 = -x_3$ . Also,  $\lambda_1 = -\lambda_2$  iff  $(x_0 + x_2) = -(x_2 + x_4)$ . We deal with these exceptional cases separately as follows.

**Case 1:**  $x_4 = x_0 \neq 0$  and  $x_1 = -x_3$ . This is of form  $[1, a, b, -a, 1]$ , and we apply Lemma 5.2.

**Case 2:**  $x_4 = x_0 = 0$  and  $x_1 = -x_3$ . If we further have  $x_2 = 0$ , then this is a signature of form  $[x, y, -x, -y, x]$  and we apply Corollary 4.7. Otherwise, it is of form  $[0, a, 1, -a, 0]$ . By the Tetrahedron gadget, we can realize a signature of  $[6a^2 + 3, a, 2a^2 + 2, -a, 6a^2 + 3]$ . Since  $6a^2 + 3 \neq 0$ , this case is proved in Case 1.

**Case 3:**  $(x_0 + x_2) = -(x_2 + x_4)$ . Using Tetrahedron gadget, we can realize signature  $[y_0, y_1, y_2, y_3, y_4]$  such that  $(y_0 + y_2) \neq -(y_2 + y_4)$ . So the problem is reduced to a setting which has been proved. Details of calculations can be found in Appendix E.  $\square$

Now we are ready to prove our main result.

**Proof of Theorem 3.2.** As stated in the outline in Section 3, we prove this theorem by showing that for any non-degenerate signature  $X$  with arity at least 3,  $\text{Holant}(X, \mathcal{F})$  is tractable iff there exists a  $2 \times 2$  matrix satisfying the conditions. We prove by induction on the arity  $k$  of  $X$ .

The cases of  $k = 3$  and  $k = 4$  are proved in Theorem 4.5 and Theorem 6.3.

Suppose for arity  $k < n$ , we have proved our claim. Now we have a signature  $X$  of arity  $n$ . We obtain an  $(n - 2)$ -ary signature  $X'$  by adding a self-loop to  $X$ . If  $X'$  is non-degenerate, then we are done by induction hypothesis. If  $X'$  is all zero, then  $X$  is of form  $[x, y, -x, -y, x, y, -x, -y, \dots]$  and we apply Corollary 4.7. The only remaining case is that  $X'$  is degenerate but not all zero, and without loss of generality, we assume that  $X' = [a, b]^{\otimes(n-2)}$ . By applying an appropriate *real* orthogonal transformation, we could transform  $X'$  into  $[1, 0]^{\otimes(n-2)}$ , and  $X$  into  $Y = XQ^{\otimes n} \triangleq [y_0, y_1, \dots, y_n]$ . By Theorem A.3, we may just assume that we actually have  $Y$  in the place of  $X$ . The fact that  $X'$  is transformed into  $Y' = [1, 0]^{\otimes(n-2)}$  implies that  $Y = [y_0, y_1, y_2, -y_1, -y_2, \dots]$ . After adding enough self-loops to  $Y'$  we can either get  $[1, 0]$  or  $[1, 0, 0]$  depending on the parity of  $n$ . Then connecting some copies of  $[1, 0]$  or  $[1, 0, 0]$  to  $Y$ , we can either get  $Y'' = [y_0, y_1, y_2, -y_1]$  or  $Y'' = [y_0, y_1, y_2, -y_1, -y_2]$ . If  $Y''$  is degenerate, then the ratio must be  $\pm i$ , and  $y_0 = -y_2$ . This would imply that  $Y'$  is an all zero signature, a contradiction. Now we know that such a  $Y''$  is not degenerate, and we can complete the proof by Theorem 4.5 or Theorem 6.3.  $\square$



Figure 1: The tetrahedron gadget.

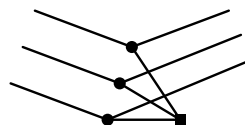


Figure 2: The circle vertices has signature  $[0, 1, 0, 0]$ , and the square vertex is an equality function (we use  $=_3$  as example here)

## Figures

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## A Some Known Dichotomies and Useful Results

The following lemma characterizes symmetric functions in  $\mathcal{P}$  and  $\mathcal{A}$ .

**Lemma A.1.** *Let  $f = [f_0, f_1, \dots, f_k]$  be a symmetric function. If  $f \in \mathcal{P}$ , then either  $f$  is degenerate, a binary disequality, or  $f_1 = \dots = f_{k-1} = 0$ . If  $f \in \mathcal{A} \setminus \mathcal{P}$ , then either none of  $f_i$ 's are zero, or  $f_i = 0$  for all odd  $i$ 's, or  $f_i = 0$  for all even  $i$ 's.*

*Proof.* We first consider the case when  $f \in \mathcal{P}$ , that is,  $f$  can be expressed as a product of unary functions, binary equality functions and binary disequality functions. We could assume that each variable is only involved in one unary function, because having multiple unary functions on one variable is equivalent to having the product unary function of them on the same variable. Also, we assume that for each pair of variables, either they are not involved in a binary function, or they are involved in exactly one of binary equality and disequality functions, since if they appear in both functions, then the function is 0 and is thus degenerate. Observe that if  $f$  is symmetric, then all variables must have the same unary function, because otherwise interchanging the value of variables with different unary functions would give different function values, contradict to the assumption that  $f$  is symmetric. Next we consider the binary equality and disequality functions. If there are contradictions, such as  $x_1 \neq x_2$ ,  $x_2 \neq x_3$ , and  $x_3 \neq x_1$ , then we know that the function always values to 0, thus is degenerate. If there are no contradictions, then the set of variables is divided into several subsets, within which variables are restricted to take the same value, and variables in different subsets may be required to take different values. It is easy to see that if  $f$  is symmetric and has size at least 3, it must be the case that all variables are in the same set which takes equal values. If  $f$  is binary, then it can also be a binary disequality function.

Now consider  $f \in \mathcal{A} \setminus \mathcal{P}$ . We only need to consider the  $\chi_{AX=0}$  factor, since we are only interested in whether the values are zero or not. We first prove that if  $f_2 \neq 0$ , then  $f_i \neq 0$  for all even  $i$ 's. Let  $X_1 = (1, 1, 0, \dots, 0, 1)$ ,  $X_2 = (0, 1, 1, 0, \dots, 0, 1)$ ,  $X_3 = (1, 0, 1, 0, \dots, 0, 1)$ , and  $X_4 = (0, 1, 0, 1, 0, \dots, 0, 1)$ .  $f_2 = 0$  implies that  $AX_i = 0$  for  $i = 1, 2, 3, 4$ . Further, let  $X_5 \triangleq X_1 + X_2 + X_3 = (0, \dots, 0, 1)$ , and  $X_6 \triangleq X_1 + X_2 + X_4 = (1, 1, 1, 1, 0, \dots, 0, 1)$ . Then we also have  $AX_5 = 0$ ,  $AX_6 = 0$ , and therefore  $f_0 \neq 0$ ,  $f_4 \neq 0$ . Similarly, we could prove that  $f_{2k} \neq 0$  for all  $k$ . The proof that  $f_1 \neq 0$  implies  $f_i \neq 0$  for all odd  $i$ 's is also essentially the same.  $\square$

Prior to this work, dichotomy results were proved in [17] and [13] for  $\text{Holant}^*(\mathcal{F})$  and  $\text{Holant}^c(\mathcal{F})$  where  $\mathcal{F}$  is a set of complex symmetric Boolean signatures. We list the dichotomy for  $\text{Holant}^c(\mathcal{F})$  here as it would be used in this paper.

**Theorem A.2.** [17], [13] *Let  $\mathcal{F}$  be a set of complex symmetric signatures.  $\text{Holant}^c(\mathcal{F})$  is  $\#P$ -hard unless  $\mathcal{F}$  satisfies one of the following conditions, in which case it is tractable:*

1. *Every signature in  $\mathcal{F}$  is of arity no more than two;*
2. *There exist two constants  $a$  and  $b$  (not both zero, depending only on  $\mathcal{F}$ ), such that for every signature  $[x_0, x_1, \dots, x_n] \in \mathcal{F}$  one of the two conditions is satisfied: (1) for every  $k = 0, 1, \dots, n-2$ , we have  $ax_k + bx_{k+1} - ax_{k+2} = 0$ ; (2)  $n = 2$  and the signature  $[x_0, x_1, x_2]$  is of form  $[2a\lambda, b\lambda, -2a\lambda]$ .*
3. *For every signature  $[x_0, x_1, \dots, x_n] \in \mathcal{F}$ , one of the two conditions is satisfied: (1) For every  $k = 0, 1, \dots, n-2$ , we have  $x_k + x_{k+2} = 0$ ; (2)  $n = 2$  and the signature  $[x_0, x_1, x_2]$  is of form  $[\lambda, 0, \lambda]$ .*
4. *There exists a  $T \in \mathcal{T}$  such that  $\mathcal{F} \subseteq T\mathcal{A}$ , where  $\mathcal{T} \triangleq \{T \mid [1, 0, 1]T^{\otimes 2}, [1, 0]T, [0, 1]T \in \mathcal{A}\}$ .*

The following theorem is very useful as a way to normalize the given signature set  $\mathcal{F}$ .

**Theorem A.3.** *Let  $\mathcal{F}$  be a set of signatures and  $M$  be a  $2 \times 2$  orthogonal matrix. For any signature grid  $\Omega = (G, \mathcal{F}, \pi)$ , replacing every signature  $F \in \mathcal{F}$  by  $M^{\otimes n}F$ , where  $n$  is the arity of  $F$ , we can get a new signature grid  $\Omega'$ . Then*

$$\text{Holant}_\Omega = \text{Holant}_{\Omega'}.$$

*Proof.* First we use the standard technique to reformulate the signature grid  $\Omega = (G, \mathcal{F}, \pi)$ . We insert a new vertex at each edge of  $G$  with signature  $=_2$ . This will not change the value of the signature grid. Then for the new bipartite signature grid  $\mathcal{F} \mid =_2$ , we apply a holographic reduction on basis  $M$ . This will map a signature  $F \in \mathcal{F}$  to  $M^{\otimes n}F$ , where  $n$  is the arity of  $F$ . It is an algebraic fact that the  $=_2$  will map to itself. Then we view these (new)  $=_2$  as an edge and ignore these vertices. This gives the signature grid  $\Omega'$  as required. Due to the Holant theorem, its value is the same as  $\Omega$ .  $\square$

## B Omitted Proofs in Section 4

**Full proof of Lemma 4.3.** Before the main part of the proof, we list some simple linear algebra facts which will be useful in the proof. All of them can be verified easily by definition. Let  $M = \begin{bmatrix} 1 & 0 \\ 0 & x \end{bmatrix}$  be a non-degenerate diagonal matrix. Then (1) Both  $[0, 1], [1, 0]$  remain unchanged (up to a scale) after a holographic reduction under  $M$ ; (2) The property that a signature  $F$  is in  $\mathcal{P}$  or not remain unchanged after a holographic reduction under  $M$ ; (3) For any  $d \geq 1$ ,  $M \in \mathcal{T}_d \Leftrightarrow M^{-1} \in \mathcal{T}_d$ ; (4) For any  $d \geq 1$ ,  $=_d$  remain under changed after a holographic reduction under  $M$  iff  $M \in \mathcal{T}_d$ ; (5) For any  $d \geq 1$ ,  $M^{\otimes d} =_d \in \mathcal{A}$  iff  $M \in \mathcal{T}_{4d}$ .

We use the following bipartite Holant problem to represent this  $\#\text{CSP}^d(\mathcal{F} \cup \{[1, 0], [0, 1]\})$

$$\text{Holant}(\{=_d, =_{2d}, \dots, \} \mid \mathcal{F} \cup \{[1, 0], [0, 1]\}).$$

We first show the tractability part. Let  $T \in \mathcal{T}_{4d}$  be the matrix such that  $(T\mathcal{F}) \subset \mathcal{P}$  or  $(T\mathcal{F}) \subset \mathcal{A}$ . Applying a holographic reduction on the above problem under basis  $T^{-1}$ , we have

$$\text{Holant}(\{=_d, =_{2d}, \dots, \} \mid \mathcal{F} \cup \{[1, 0], [0, 1]\}) \equiv_T \text{Holant}(\{=_d, =_{2d}, \dots, \} T^{-1} \mid (T\mathcal{F}) \cup \{[1, 0], [0, 1]\}).$$

Since  $\{=_d, =_{2d}, \dots, \} T^{-1} \subset \mathcal{P} \cap \mathcal{A}$ , we have that either all the signatures involved in the above Holant problem are in  $\mathcal{P}$  or all the signatures involved in the above Holant problem are in  $\mathcal{A}$ . The polynomial time algorithm follows directly from that.

Now we prove the hardness part. We can actually also realize  $\{[1, 0], [0, 1]\}$  on the LHS by connecting the  $[1, 0]$ 's and  $[0, 1]$ 's on the RHS to the equality signatures, and by this we can realize any sub-signature on the RHS. If all the binary sub-signatures of signatures in  $\mathcal{F}$  are degenerate, then  $\mathcal{F} \subset \mathcal{P}$  and we are done. Now we assume that we can realize a non-degenerate binary  $[y_0, y_1, y_2]$  on the RHS.

Let  $f := [f_0, f_1, \dots, f_r]$  be a function in  $\mathcal{F}$ . If there exists some  $i \in \{0, \dots, r-1\}$  such that  $f_i f_{i+1} \neq 0$ , then we could use  $[1, 0]$  and  $[0, 1]$  on the LHS to realize  $[f_i, f_{i+1}]$ . After a scale, we can write it as  $[1, a]$ , where  $a \neq 0$ . By connecting  $dk-3$  copies of  $[1, a]$  to a  $=_{dk}$  where  $dk \geq 3$ , we can realize  $[1, 0, 0, a^{dk-3}]$  in the LHS. Then we can apply a holographic reduction under  $M = \begin{bmatrix} 1 & 0 \\ 0 & a^{-\frac{dk-3}{3}} \end{bmatrix}$ , which transforms  $[1, 0, 0, a^{dk-3}]$  into  $[1, 0, 0, 1]$ . Note that we have a non-degenerate binary signature on the RHS and also a unary  $[x, y] = M[1, a]$  with  $xy \neq 0$ . We conclude by Theorem 4.2 that the problem is  $\#\text{P}$ -hard unless there exist  $N (= MT)$ , where  $T \in \mathcal{T}_3$ , such that  $(\{=_d, =_{2d}, \dots, \}N) \cup (N^{-1}\mathcal{F}) \subset \mathcal{P}$  or  $(\{=_d, =_{2d}, \dots, \}N) \cup (N^{-1}\mathcal{F}) \subset \mathcal{A}$ . We note that  $N$  is a diagonal matrix. If  $N^{-1}\mathcal{F} \subset \mathcal{P}$  then  $\mathcal{F} \subset \mathcal{P}$  and we are done. Otherwise  $\{=_d, =_{2d}, \dots, \}N \cup N^{-1}\mathcal{F} \subset \mathcal{A}$ . The fact that  $=_d N \in \mathcal{A}$  directly implies that  $N \in \mathcal{T}_{4d}$  and the proof for this case is also complete.

Now we assume that for every  $f := [f_0, f_1, \dots, f_r] \in \mathcal{F}$  and every  $i \in \{0, \dots, r-1\}$  we have  $f_i f_{i+1} = 0$ . This also implies that all signatures in  $\mathcal{F}$  with arity no more than 2 are in  $\mathcal{P}$ . Hence, if  $\mathcal{F}$  only consists of signatures of arity no more than 2, then the instance is computable in polynomial time.

Now assume that  $\mathcal{F}$  contains at least one non-degenerate signature of arity at least 3. For a signature  $f \in \mathcal{F}$  of arity greater than 3, if all its ternary subsignatures are degenerate yet  $f$  itself is



not, the only possibility is that  $f = [f_0, \dots, f_r] \in \mathcal{P}$ . Therefore, if we cannot find a non-degenerate ternary (sub-)signature in  $\mathcal{F}$ , then we have  $\mathcal{F} \subseteq \mathcal{P}$  and thus the problem is easy. Otherwise, let  $f := [f_0, f_1, f_2, f_3]$  be a non-degenerate ternary signature constructed from  $\mathcal{F}$ . We choose a (sub-)signature so that  $f_0 f_3 = 0$ . This is always possible given that the original signature is not all of form  $[f_0, 0, 0, \dots, 0, f_r]$ . In fact, if we assume that  $f_0 \neq 0$ , then  $f_1 = 0$ , and now if  $f_2 = 0$  then we must have  $f_3 \neq 0$  otherwise it is a degenerate ternary signature. By the assumption that the original signature is not all of form  $[f_0, 0, \dots, 0, f_r]$ , we must have that  $r > 3$ , and thus  $[0, 0, f_3, f_4]$  would be a signature that satisfies our requirement. Otherwise, if  $f_2 \neq 0$ , then  $f_3 = 0$  and we are done. For the case when  $f_0 = 0$ , we can find the first non-zero  $f_i$ , and either  $[0, f_i, f_{i+1}, f_{i+2}]$  or  $[0, 0, f_i, f_{i+1}]$  would work, depending on the value of  $i$ . So now we have  $f_1 \neq 0$  or  $f_2 \neq 0$ . By symmetricity, we can assume that  $f_1 \neq 0$ . Then  $f_0 = f_2 = 0$  and the signature is of form  $[0, 1, 0, a]$  after scale. If  $a = 0$ , we can prove  $\#P$ -hardness as follows. We use one copy of  $=_d$  on the LHS to connect  $d$  different copies of  $[0, 1, 0, 0]$ . Then we group the other  $2d$  inputs into two groups as in Figure 2. By this, we can effectively reduce the  $\#CSP([1, 1, 0])$ , which is  $\#P$ -hard, to it.

If  $a \neq 0$ , then we can realize  $[1, 0, a]$  ( $a \neq 0$ ) on the RHS. If  $d$  is odd, we can connect  $\frac{dk-3}{2}$  (where  $dk \geq 3$  and is odd) copies of  $[1, 0, a]$  to  $=_{dk}$  to realize  $[1, 0, 0, a^{\frac{dk-3}{2}}]$  on the LHS. We apply a suitable holographic transformation to make it into  $[1, 0, 0, 1]$  and apply Theorem 4.2. We note that this time we may not have suitable unary functions on the RHS. But we have a binary  $[1, 0, a]$  on the RHS which is not of the form  $[0, *, 0]$ . So we can still apply Theorem 4.2. This completes the proof for the case where  $d$  is odd. For the case where  $d$  is even, if there exist a non-degenerate signature of form  $[*, 0, 0, 0, \dots, 0, *]$  with odd arity on the RHS, we can also realize a signature with form  $[*, 0, 0, *]$  on the LHS and complete the proof similarly.

Now we assume that  $d$  is even, and that all non-degenerate signatures of form  $[*, 0, \dots, 0, *]$  are of even arity. By grouping  $d$  copies of  $[1, 0, a, 0]$ , we can reduce the problem  $\#CSP([0, 1, 0, a^d])$  to  $\#CSP^d([0, 1, 0, a])$ . Therefore,  $\#CSP^d([0, 1, 0, a])$  is  $\#P$ -hard unless  $[0, 1, 0, a^d] \in \mathcal{P} \cap \mathcal{A}$ . Since  $[0, 1, 0, a^d] \notin \mathcal{P}$ , we conclude that  $[0, 1, 0, a^d] \in \mathcal{A}$ , which implies that  $a^d = \pm 1$ . By applying a holographic reduction under basis  $T = \begin{bmatrix} 1 & 0 \\ 0 & a^{\frac{d}{2}} \end{bmatrix}$ , we can transform  $[0, 1, 0, a]$  on the RHS to  $[0, 1, 0, 1]$ . We note that  $T \in \mathcal{T}_{4d}$  since  $(a^{\frac{d}{2}})^{4d} = (\pm 1)^2 = 1$ . All the  $=_{4kd}$  in the LHS will remain unchanged. We realize  $[1, 0, 1]$  from  $[0, 1, 0, 1]$ , then connect it to  $=_{4kd}$ s to realize all of  $\{=2, =4, =6 \dots, \}$  on the LHS. Since we have  $=_2$  in both sides now, we can reduce the following non-bipartite Holant problem to the original problem.

$$\text{Holant}(\{=2, =4, =6 \dots, \} \cup T\mathcal{F} \cup \{[1, 0], [0, 1], [0, 1, 0, 1]\}).$$

So it is enough to show that this problem is  $\#P$ -hard unless  $(T\mathcal{F}) \subset \mathcal{A}$ .

Before we proceed, let us sum up our assumptions up to this moment: for every signature  $f \in \mathcal{F}$ , either  $f$  has the form  $[*, 0, \dots, 0, *]$  and its arity is even, or all its ternary (sub-)signatures are of the form  $[*, 0, *, 0]$  or  $[0, *, 0, *]$ . We first show that if there exists a signature of form  $[*, 0, 0, 0, \dots, 0, *]$  which is not in  $\mathcal{A}$ , then the problem is  $\#P$ -hard. After a scale, we can write it as  $[1, 0, 0, 0, \dots, 0, b]$ . Let its arity be  $2k$ , we can realize  $[1, 0, b]$  by connecting it to a equality function  $=_{2k-2}$ . Similar to the above, we group signatures together to achieve reduction from general  $\#CSP$ . The difference is that here we group different signatures with the same arity. Specifically, we use one copy of  $[1, 0, b]$  and one copy of  $[1, 0, 1]$  to form a group and realize a signature  $[1, 0, b]$  in the grouped instance, and we reduce the problem  $\#CSP([0, 1, 0, 1], [1, 0, b])$  to this and conclude that it is  $\#P$ -hard if  $b^4 \neq 1$ . Now we prove the same result for signatures of form  $[*, 0, *, 0]$  or  $[0, *, 0, *]$ . We can scale  $[0, *, 0, *]$  to  $[0, 1, 0, b]$ . By group one copy of  $[0, 1, 0, b]$  and one copy of  $[0, 1, 0, 1]$ , we conclude that the problem is  $\#P$ -hard unless  $[0, 1, 0, b] \in \mathcal{A}$ , which implies that  $b = \pm 1$ . Since we can construct  $[0, 1, 0]$  from  $[0, 1, 0, 1]$  and  $[1, 0]$ , the result also holds for  $[*, 0, *, 0]$  because connecting  $[0, 1, 0]$ 's to all inputs of  $[*, 0, *, 0]$  gives a  $[0, *, 0, *]$ . Extending this result for ternary sub-signatures to general signatures, we conclude that either the whole signature is in  $\mathcal{A}$  or we can construct a longer sub-signature that are multiples of  $[1, 0, 1, 0, -1]$  or  $[1, 0, -1, 0, -1]$  after scale. These two cases are also

symmetric, so it remains to prove that  $\text{Holant}(\{[1, 0, 1, 0, -1], =_2, =_4, =_6 \dots, \})$  is  $\#P$ -hard. we

define the following matrix notation for a signature  $[1, 0, 1, 0, -1]$  :  $A = \begin{bmatrix} 1 & 0 & 0 & 1 \\ 0 & 1 & 1 & 0 \\ 0 & 1 & 1 & 0 \\ 1 & 0 & 0 & -1 \end{bmatrix}$  Now we

connect two inputs of two  $[1, 0, 1, 0, -1]$ s together to form a chain. We can calculate that  $A^3$  is

$\begin{bmatrix} 2 & 0 & 0 & 2 \\ 0 & 4 & 4 & 0 \\ 0 & 4 & 4 & 0 \\ 2 & 0 & 0 & -2 \end{bmatrix}$ . By group two copies of  $A^3$  together, we see that it is neither  $\mathcal{A}$  nor  $\mathcal{P}$ , and

thus is  $\#P$ -hard. This completes the proof.  $\square$

To remove  $[0, 1], [1, 0]$  in Lemma 4.3, we start with the following special pinning lemma for  $\#CSP^d$ . Similar to [22], we have the following lemma.

**Lemma B.1.**

$$\#CSP^d(\mathcal{F}) \equiv_T \#CSP^d(\mathcal{F} \cup \{[1, 0]^{\otimes d}, [0, 1]^{\otimes d}\}).$$

The proof is exactly the same as [22] so we omit here. The only thing one need to notice is that when adding auxiliary variables, it is important that it appears a multiple of  $d$  times, and in our case this is guaranteed by  $[1, 0]^{\otimes d}$  and  $[0, 1]^{\otimes d}$ .

The following lemma shows that we can effectively realize pinning. A similar idea was used in [19].

**Lemma B.2.**  $\#CSP^d(\mathcal{F})$  is  $\#P$ -hard (or in  $P$ ) iff  $\#CSP^d(\mathcal{F} \cup \{[1, 0], [0, 1]\})$  is  $\#P$ -Hard (or in  $P$ ).

*Proof.* Obviously the first one can be reduced to the second one. Hence if the second problem is in  $P$ , so is the first. We have already proved a dichotomy theorem for the second one. So now we may assume the second problem is  $\#P$ -hard, and show that the first problem is also  $\#P$ -hard.

We observe that in all the proofs in this paper and [18], when we prove the second problem to be  $\#P$ -hard for any signature set, we reduce one of the following three problems to it by a chain of reductions: (a)  $\text{Holant}([1, 0, 0, 1][1, 1, 0])$ , (b)  $\text{Holant}([1, 1, 0, 0])$ , or (c)  $\text{Holant}([0, 1, 0, 0])$  (VERTEX COVER or MATCHING or PERFECT MATCHING for 3-regular graph). There are only three reduction methods in this reduction chain, direct gadget construction, polynomial interpolation, and holographic reduction.

Given an instance  $G$  of  $\text{Holant}([1, 0, 0, 1][1, 1, 0])$ ,  $\text{Holant}([1, 1, 0, 0])$ , or  $\text{Holant}([0, 1, 0, 0])$ , we consider the graph  $G^{\otimes d}$ , which denotes the disjoint union of  $d$  copies of  $G$ .

Notice that the value of  $\text{Holant}([1, 0, 0, 1][1, 1, 0])$ ,  $\text{Holant}([1, 1, 0, 0])$ , or  $\text{Holant}([0, 1, 0, 0])$  on the instance  $G$  is a non-negative integer, and the value on  $G^{\otimes d}$  is its  $d$ -th power. So we can compute the value on  $G$  uniquely from its  $d$ -th power. Suppose the reduction chain on the instance  $G$  produced instances  $G_1, G_2, \dots, G_m$  of the second problem. The same reduction applied to  $G^{\otimes d}$  produces instances of the form  $G_1^{\otimes d}, G_2^{\otimes d}, \dots, G_m^{\otimes d}$ . (We note that the reduction on  $G^{\otimes d}$  may produce polynomially more instances than on  $G$  because of polynomial interpolation.)

For each  $G_i^{\otimes d}$  as an instance of  $\#CSP^d(\mathcal{F} \cup \{[1, 0], [0, 1]\})$ , the number of occurrences of  $[0, 1]$  or  $[1, 0]$  is a multiple of  $d$ . Hence, we can view it as an instance of  $\#CSP^d(\mathcal{F} \cup \{[1, 0]^{\otimes d}, [0, 1]^{\otimes d}\})$ . By the assumption that  $\#CSP^d(\mathcal{F} \cup \{[1, 0], [0, 1]\})$  is hard, we conclude that  $\#CSP^d(\mathcal{F} \cup \{[1, 0]^{\otimes d}, [0, 1]^{\otimes d}\})$  is  $\#P$ -hard. By Lemma B.1, we have that  $\#CSP^d(\mathcal{F})$  is  $\#P$ -hard.  $\square$

## C Hardness of counting Eulerian orientation in regular undirected graphs

In this section, we prove a hardness result for a rather independent problem: counting Eulerian orientation in regular undirected graphs. We show hardness for this problem here in terms of the Holant framework.

First we define Eulerian orientations.

**Definition C.1.** Given a graph  $G = (V, E)$  of which all vertices are of even degree. Let  $\sigma$  be an orientation of its edges  $E$ .  $\sigma$  is an Eulerian orientation iff for each vertex  $v \in V$ , the number of incoming edges and outgoing edges of  $v$  are the same.

To prove hardness of counting Eulerian orientations, we show how to use it to calculate a certain hard-to-compute weighted sum of orientations on the medial graph of planar graphs. We recall the definition of medial graphs.

**Definition C.2** ([48]). Let  $G$  be a connected planar graph. For simplicity, we assume that every edge of  $G$  is contained in exactly two different planes. Define its medial graph  $H = (V_H, E_H)$ , where  $V_H$  consists of the middle points of edges in  $G$ , and for each plane in  $G$ , connect the middle points on the border of  $G$  to get a cycle, and  $E_H$  consists of all edges on this cycle.

Note that medial graphs are 4-regular graphs.

The following theorem shows the relation between Eulerian orientations, medial graphs and Tutte polynomials. For the definition of Tutte polynomial, we refer to [2].

**Theorem C.3** ([48]). Let  $G$  be a connected planar graph and let  $\mathcal{O}(H)$  be the set of all Eulerian orientations of the medial graph  $H = H(G)$ . Then

$$\sum_{O \in \mathcal{O}(H)} 2^{\beta(O)} = 2 \cdot T(G; 3, 3), \quad (1)$$

where  $\beta(O)$  is the number of saddle vertices in orientation  $O$ , i.e. vertices in which the edges are oriented “in, out, in, out” in cyclic order.

It is known that calculating the right hand side of the above is #P-hard.

**Theorem C.4** ([36], [49]). If  $(x, y) \in \{(1, 1), (-1, -1), (0, 1), (-1, 0)\}$  or satisfies  $(x-1)(y-1) = 1$ , the Tutte polynomial is computable in polynomial time. Otherwise, it is #P-hard. If the problem is restricted to the class of planar graphs, the points on the hyperbola defined by  $(x-1)(y-1) = 2$  become polynomial-time computable, but all other points remain #P-hard.

Before we prove the main theorem of this section, first observe that  $\text{Holant}([0, 0, 1, 0, 0]||[0, 1, 0])$  is exactly the number of Eulerian orientations in a 4-regular graph.

**Claim C.5.** Let  $G = (V, E)$  be a 4-regular graph, and  $G' = (X, Y, E')$  be the following bipartite graph:  $X = \{v_x | x \in V\}$ ,  $Y = \{v_e | e \in E\}$ ,  $E' = \{(v_x, v_e) | x \in e\}$ . Then the number of Eulerian orientations of  $G$  is equal to  $\text{Holant}_{G'}([0, 0, 1, 0, 0]||[0, 1, 0])$ .

Now we prove the main theorem. We show how to calculate the LHS in Theorem C.3 given an oracle of COUNTING-EULERIAN-ORIENTATION.

**Theorem C.6.** COUNTING-EULERIAN-ORIENTATION is #P-hard for 4-regular graphs.

*Proof.* We reduce calculating the LHS of Equation (1) to  $\text{Holant}([0, 0, 1, 0, 0]||[0, 1, 0])$ . Then since it is known that calculating the Tutte polynomial on graphs at  $(3, 3)$  is #P-hard, we conclude that  $\text{Holant}([0, 0, 1, 0, 0]||[0, 1, 0])$  is #P-hard.



Figure 3: Recursive gadget. 4-ary signatures are  $[0, 0, 1, 0, 0]$ , and binary ones are  $[0, 1, 0]$ .

Suppose we have  $[0, 0, 1, 0, 0]$  on the left and  $[0, 1, 0]$  on the right. Consider the recursive gadget in Figure 3. Let

$$P = \begin{bmatrix} 1 & 0 & 0 & 1 \\ 1 & 0 & 0 & -1 \\ 0 & 1 & 1 & 0 \\ 0 & 1 & -1 & 0 \end{bmatrix}, G_0 = \begin{bmatrix} 0 & 0 & 0 & 1 \\ 0 & 1 & 1 & 0 \\ 0 & 1 & 1 & 0 \\ 1 & 0 & 0 & 0 \end{bmatrix}, G_1 = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 1 & 0 \\ 0 & 1 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

For a 4-ary gadget, we could represent it as a  $4 \times 4$  matrix, where the rows indicates the two inputs on the left side, and the columns indicates the two inputs on the right side, and the inputs are ordered in lexicographical order. Then the signature of the gadget in Figure 3 is actually

$$G_0 G_1^k = G_0 P^{-1} \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 2^k & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix} P$$

We could realize the following signature via interpolation

$$G_0 P^{-1} \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & \frac{1}{2} & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix} P = \begin{bmatrix} 0 & 0 & 0 & 1 \\ 0 & \frac{1}{2} & \frac{1}{2} & 0 \\ 0 & \frac{1}{2} & \frac{1}{2} & 0 \\ 1 & 0 & 0 & 0 \end{bmatrix}$$

We call this signature  $G_x$ . Now we show that  $\text{Holant}_{G_H}(G_x|[0, 1, 0]) = \sum_{O \in \mathcal{O}(H)} 2^{\beta(O)}$ , for a suitably constructed bipartite graph  $G_H$ . The vertices on the left side of  $G_H$  corresponds to vertices in  $H$ , and the vertices on the right corresponds to edges of  $H$ , and they are connected in the natural way. Note that the resulting graph is not planar any more, since we need to change the layout of the constructed gadgets to match the weights. More specifically, according to the current layout, the signature values to 1 when both input 1 and 2 are 1, or both input 3 and 4 are 1, and all other inputs of weight 2 values to  $1/2$ . Thus, when replacing it in the medial graph, the order of edges should be 1-3-2-4, so that assignments with two 1's that give 1 to non-neighboring inputs value to 1, and assignments with two 1's that give 1 to neighboring inputs value to  $1/2$ .

Clearly, there is a 1-1 correspondence between edge assignments with non-zero values and Eulerian orientations of  $H$ : for each edge, its orientation in  $H$  corresponds to an assignment of 0 and 1 on RHS vertices of  $G_H$  corresponding to that edge. Also, in  $H$ , saddle vertices contribute a factor of 2 to the value of the assignment, while other vertices contribute 1, and correspondingly in  $G_H$ , assignments that produce saddle vertices cause the function at that vertex value to 1, while the others values  $\frac{1}{2}$ , differing by a factor of 2. Therefore, the weight of this assignment is exactly  $2^{\beta(O)}$ , and we are done with the reduction.  $\square$

Therefore, we extend previous results by showing that counting Eulerian orientations in 4-regular graphs is  $\#P$ -hard. We could also show that counting Eulerian orientations in all  $2k$ -regular graphs are hard.

**Corollary C.7.** COUNTING-EULERIAN-ORIENTATION is  $\#P$ -hard for  $2k$ -regular graphs, for all  $k \geq 2$ .

*Proof.* Let  $X$  be a  $2k$ -ary signature which values to 0 unless the weight of the input is  $k$ , when it values 1. Similar to Claim C.5, we could see that  $\text{Holant}(X|[0, 1, 0])$  characterizes exactly the problem of counting Eulerian orientations in a  $2k$ -regular graph.

We now show how to realize  $[0, 0, 1, 0, 0]$  with  $X$  for any  $k$ . Consider the signature in Figure 4. When we have  $0 \leq x \leq 2$  1's on the input of the left side, the  $X$  signature on the left would imply that there must be  $k - x$  1's on the left input of all the  $[0, 1, 0]$ 's, thus there should be  $k - x$  0's and  $(2k - 2) - (k - x) = k + x - 2$  1's on the right input of all the  $[0, 1, 0]$ 's, and therefore the weight of

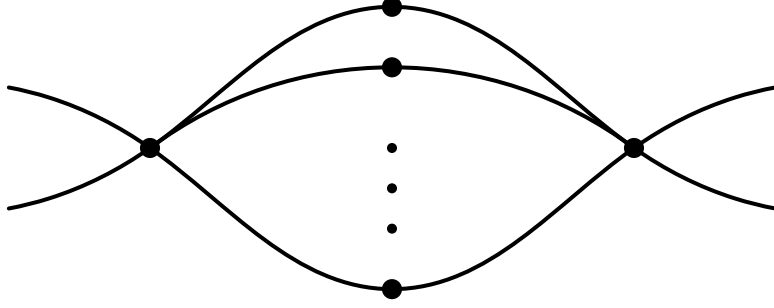


Figure 4: The  $2k$ -ary signature is  $X$ , and the binary signatures are  $[0, 1, 0]$ .

the input on the right side is  $2 - x$ . So the value of this signature is nonzero iff its input weight is 2. If the two 1's in the input are on the same side, then the weight of this assignment is  $\binom{2k-2}{k-2}$ ; if the two 1's are on different side, then the weight of the assignment is  $\binom{2k-2}{k-1}$ . After dividing the values by some common factor and aligning the edges in an appropriate way, we get that the signature in Figure 4 values  $k - 1$  for saddle vertices and  $k$  for non-saddle vertices. We call this gadget  $G_k$ .

By applying similar recursive construction and interpolation as we did for the gadget in Figure 3, we could realize  $G_x$ , and the hardness result follows by Theorem C.6.  $\square$

**Lemma C.8.** Holant( $[1, 0, \frac{1}{3}, 0, 1]$ ) is  $\#P$ -hard.

*Proof.* By applying holographic transformation  $\begin{bmatrix} 1 & 1 \\ i & -i \end{bmatrix}$ , we have that

$$\text{Holant} \left( \left[ 1, 0, \frac{1}{3}, 0, 1 \right] \right) \equiv_T \text{Holant}([0, 0, 1, 0, 0] | [0, 1, 0]).$$

This is exactly the Counting-Eulerian-Orientation problem on 4-regular graph. By Theorem C.6, it is  $\#P$ -hard.  $\square$

## D Omitted Proofs in Section 5

We first prove Claim 5.1. We restate the claim below for convenience.

**Claim 5.1.** *There exists a real orthogonal  $2 \times 2$  matrix  $Q$ , such that  $[1, a, b, -a, 1]Q^{\otimes 4} = [1, 0, b', 0, 1]$  for some  $b'$ .*

*Proof.* Consider the following orthogonal matrix

$$Q = \begin{bmatrix} r & \sqrt{1-r^2} \\ \sqrt{1-r^2} & -r \end{bmatrix},$$

and the 4-ary signature  $X = [1, a, b, -a, 1]$  as a vector in  $\mathbb{R}^{2^4}$

$$X = (1, a, a, b, a, b, b, -a, a, b, b, -a, b, -a, -a, 1).$$

Consider transforming  $X$  under  $Q$ , we have

$$XQ^{\otimes 4} = [d' \ a' \ a' \ b' \ a' \ b' \ b' \ -a' \ a' \ b' \ b' \ -a' \ b' \ -a' \ -a' \ d'],$$

where

$$\begin{aligned} d' &= -4ar\sqrt{1-r^2} + 8ar^3\sqrt{1-r^2} - 2br^4 + 2br^2 - 4br^4 + 4br^2 + 2r^4 - 2r^2 + 1 \\ &= 4ar\sqrt{1-r^2}(2r^2 - 1) - 2r^2(r^2 - 1)(3b - 1) + 1 \\ a' &= -a(8r^4 - 8r^2 + 1) - r\sqrt{1-r^2}(2r^2 - 1)(3b - 1) \\ b' &= 4ar\sqrt{1-r^2} - 8ar^3\sqrt{1-r^2} + 2br^4 - 2br^2 + b + 4br^4 - 4br^2 - 2r^4 + 2r^2 = -d' + 1 + b. \end{aligned}$$

Now consider the value of  $a'$ , we have that  $a' = -a$  when  $r = 0$  or  $r = 1$ . Also, when  $r = 1/\sqrt{2}$ ,  $a' = a$ . Therefore by continuity of the expression of  $a'$ , there must exist some  $r$ , such that  $a' = 0$ .  $\square$

**Lemma D.1.** *For any transcendental real number  $b$ , we have*

$$\text{Holant}([1, 0, b, 0, 1]) \equiv_T \text{Holant}([1, 0, b, 0, 1], (=4)).$$

*Proof.* We first show how to use polynomial interpolation in a slightly more general setting, and then apply the result to  $\text{Holant}([1, 0, b, 0, 1])$ .

Consider the following matrix

$$Q = \begin{bmatrix} 1 & 0 & 0 & 1 \\ 1 & 0 & 0 & -1 \\ 0 & 1 & 1 & 0 \\ 0 & 1 & -1 & 0 \end{bmatrix}.$$

We can also write a 4-ary signature as a  $4 \times 4$  matrix. Consider signature  $B = Q^{-1} \text{diag}(1, x, y, 0)Q$ , where  $\text{diag}(\cdot, \cdot, \cdot, \cdot)$  denotes the diagonal matrix of size 4 with corresponding elements on the diagonal. We have

$$B = \frac{1}{2} \begin{bmatrix} 1+x & & & 1-x \\ & y & y & \\ & y & y & \\ 1-x & & & 1+x \end{bmatrix}.$$

We construct 4-ary gadgets as in Figure 3 except that we replace the 4-ary signatures with  $B$ . Then the signature of the construction with  $k$  copies of  $B$  is

$$\begin{aligned} B_k &= Q^{-1} \text{diag}(1, x^k, y^k, 0)Q \\ &= \frac{1}{2} \begin{bmatrix} 1+x^k & & & 1-x^k \\ & y^k & y^k & \\ & y^k & y^k & \\ 1-x^k & & & 1+x^k \end{bmatrix}. \end{aligned}$$

Consider an instance  $\Omega$  with  $n$  copies of  $B$ . Then there exists  $\{u_{ij}\}_{i,j \in \{0, \dots, n\}}$ ,  $\{v_{ij}\}_{i,j \in \{0, \dots, n\}}$ , such that we could write the Holant value as

$$\begin{aligned} \text{Holant}_\Omega &= \sum_{\substack{i,j \in \{0, \dots, n\} \\ i+j \leq n}} u_{ij} (1+x)^i (1-x)^j y^{n-i-j} \\ &= \sum_{i,j \in \{0, \dots, n\}} v_{ij} x^i y^j. \end{aligned}$$

By replacing  $B$  with  $B_k$ , we get a series of new instances  $\Omega_k$  with Holant value

$$\text{Holant}_{\Omega_k} = \sum_{i,j \in \{0, \dots, n\}} v_{ij} x^{ik} y^{jk}.$$

Let  $\beta$  be the column vector  $\beta = (v_{00}, v_{01}, v_{02}, \dots, v_{0n}, v_{10}, \dots, v_{nn})^T$ , and let  $\alpha_k$  be row vector  $\alpha_k = (1, y^k, y^{2k}, \dots, y^{kn}, x^k, x^k y^k, \dots, x^{kn} y^{kn})$ , we have that  $\alpha_k \beta = \text{Holant}_{\Omega_k}$ . Similar to interpolation of unary signatures, we could view  $v_{ij}$  as variables and get the following

$$\begin{bmatrix} \alpha_1 \\ \alpha_2 \\ \vdots \\ \alpha_{(n+1)^2} \end{bmatrix} \beta = \begin{bmatrix} \text{Holant}_{\Omega_1} \\ \text{Holant}_{\Omega_2} \\ \vdots \\ \text{Holant}_{\Omega_{(n+1)^2}} \end{bmatrix}. \quad (2)$$

We denote  $A$  the matrix on the left hand side. If  $A$  is non-degenerate, then we could reconstruct  $\beta$  for any given instance. This would enable us to interpolate  $\text{Holant}_\Omega$  for all instances  $\Omega$  obtained by replacing all appearances of  $B$  with signature  $Q^{-1}\text{diag}(1, a, b, 0)Q$  for any  $a$  and  $b$ .

To study the condition under which  $A$  is non-degenerate, we calculate the determinant of  $A$ .

$$\begin{aligned} \det(A) &= \det \left( \begin{bmatrix} \alpha_1 \\ \alpha_2 \\ \vdots \\ \alpha_{(n+1)^2} \end{bmatrix} \right) = (xy)^{\frac{n(n+1)^2}{2}} \det \left( \begin{bmatrix} 1 \\ \alpha_1 \\ \alpha_2 \\ \vdots \\ \alpha_{(n+1)^2-1} \end{bmatrix} \right) \\ &= (xy)^{\frac{n(n+1)^2}{2}} \prod_{\substack{i_1 > i_2 \text{ or} \\ i_1 = i_2, j_1 > j_2}} (x^{i_1} y^{j_1} - x^{i_2} y^{j_2}). \end{aligned}$$

The final equality is due to the fact that the resulting matrix is a Vandermonde matrix. Therefore,  $\det(A) = 0$  only if  $xy = 0$  or  $x^{i_1} y^{j_1} = x^{i_2} y^{j_2}$  for some non-negative integer  $i_1, i_2, j_1, j_2$ .

Now we consider the signature  $X = [1, 0, b, 0, 1]$ . If we write  $X$  as a  $4 \times 4$  matrix, then it is easy to verify that  $X = Q^{-1}\text{diag}(1+b, 1-b, 2b, 0)Q$ , since  $b$  is transcendental, we further have  $(1+b)(1-b)(2b) \neq 0$ , and  $X = (1+b)Q^{-1}\text{diag}(1, \frac{1-b}{1+b}, \frac{2b}{1+b}, 0)Q$ . Since  $(1+b)$  is only a multiplicative constant, we could view  $X$  as  $X = Q^{-1}\text{diag}(1, \frac{1-b}{1+b}, \frac{2b}{1+b}, 0)Q$  without loss of generality. We could use  $X$  to construct gadgets as in Figure 3, and since  $1-b \neq 0, b \neq 0$ , this interpolation fails only when

$$(1-b)^{i_1} (2b)^{j_1} (1+b)^{i_2+j_2} = (1-b)^{i_2} (2b)^{j_2} (1+b)^{i_1+j_1}. \quad (3)$$

This is an integer coefficient equation on variable  $b$ , and therefore all roots must be algebraic numbers. Since we are considering transcendental number here, we know that Equation 3 would never hold, and thus we can always use polynomial interpolation to realize  $Q^{-1}\text{diag}(1, a, b, 0)Q$  for any  $a, b$ . Specifically, by setting  $a = 1$  and  $b = 0$ , we can realize the 4-ary equality signature. This completes the proof.  $\square$

What remains is the case when  $b$  is an algebraic number, and we prove Theorem 5.4. To complete the proof, we need the following technical lemma about real algebraic numbers.

**Lemma D.2** (Corollary 3.12 of [43]). *Let  $a$  and  $b$  be algebraic numbers, and  $\{\alpha_i\}_{i \in \{1..n\}}, \{\beta_i\}_{i \in \{1..m\}}$  are conjugates of  $a$  and  $b$  (including  $a$  and  $b$ ) of the corresponding minimal integer polynomials that define  $a$  and  $b$ , respectively. Then*

$$\prod_{i=1}^n \prod_{j=1}^m (x - \alpha_i - \beta_j)$$

*is an integer polynomial in  $x$  with  $(a+b)$  as one of its roots.*

The following lemma says that linear combinations of algebraic numbers cannot be too close to each other. This is an important property we use to recover the true values from approximate values.

**Lemma D.3.** *Let  $x_i \in \{-D^t, \dots, D^t\}$  for  $i = 1, \dots, k$  be a set of integer variables, and  $v_i$ 's be a set of constant algebraic numbers. Let*

$$S = \left\{ \sum_{i=1}^k x_i v_i \mid x_i \in \{-D^t, \dots, D^t\} \right\}$$

*Then there exists a constant  $C$  depending only on  $D$  and  $\{v_i\}$ , such that for all  $t$  and all distinct  $r_1, r_2 \in S$ ,  $|r_1 - r_2| > C^{-t}$ .*

*Proof.* We relax the range of  $x_i$ 's to  $\{-2D^t, \dots, 2D^t\}$ . Then we have a new set  $S'$  which contains all  $|r_1 - r_2|$ 's from the original  $S$ . Then we only need to show a lower bound for norms of nonzero elements in  $S'$ .

Let  $d$  be the maximum degree of  $v_i$ 's. For any set of  $x_i$ 's,  $\sum_{i=1}^k x_i v_i$  is also an algebraic number, and its product with all its conjugates is a positive rational, which is the constant term in the minimal polynomial. This value is lower-bounded by  $L^{-t}$  for some constant  $L$  depending on  $D$  and  $v_i$ 's. On the other hand, the complex norm of these conjugates are upper-bounded by  $L^t$ , where  $L'$  is also a constant depending on  $D$  and  $v_i$ 's. The number of conjugates of  $\sum_{i=1}^k x_i v_i$  is upper-bounded by  $d^k$ . Therefore, we have that the norm of  $\sum_{i=1}^k x_i v_i$  is lower-bounded by  $L^{-t}/(L^t)^{d^k} = 1/(LL^{d^k})^t =: C^{-t}$ . Note that  $LL^{d^k}$  only depends on  $D$  and  $v_i$ 's.  $\square$

We also need an algorithm for integer programming when the number of variable is fixed. More specifically, consider the following problem:

(ILP $_{\mathbb{R}}$ ) Given a matrix  $A \in \mathbb{R}^{m \times n}$  and vectors  $\mathbf{b} \in \mathbb{R}^m$ ,  $\mathbf{d} \in \mathbb{R}^n$ , decide whether there is  $\mathbf{x} \in \mathbb{Z}^n$  such that  $A\mathbf{x} \leq \mathbf{b}$ , where  $\mathbf{0} \leq \mathbf{x} \leq \mathbf{d}$ .

**Theorem D.4.** ([40], [4]) *There is an  $O(m \log \|d\|)$  algorithm for ILP $_{\mathbb{R}}$  of fixed dimension  $n$ .*

**Theorem 5.4.** *Let  $f = [x_0, \dots, x_k]$  be a symmetric Boolean signature of arity  $k$  and  $\{g_m\}$  be a sequence of signatures of arity  $k$ . We assume that all the signature values are real algebraic numbers, and there exists a constant  $C > 1$  such that for all  $m$ , we have  $|f - g_m|_{\infty} < C^{-m}$ . If we can compute  $\text{Holant}(g_m)$  in time  $\text{poly}(n, m)$ , where  $n$  is the input size, then we can compute  $\text{Holant}(f)$  in polynomial time.*

*Proof.* Given a Holant instance with  $n$  vertices all labeled  $f$ , we consider the error introduced by replacing  $f$  with  $g_m$ . We first consider the error of Holant value for a fixed edge assignment. Suppose the vertices take values  $f_1, f_2, \dots, f_n$ . Then the value of the signature of  $F$  with  $g_m$  replacing  $f$  is within  $[\min \prod_{t=1}^n (f_t \pm C^{-m}), \max \prod_{t=1}^n (f_t \pm C^{-m})]$ , where minimum and maximum is taken over different choices of plus and minus signs. Let  $M = \max_{i \in \{0, \dots, k\}} x_i$ . Then the maximum of error is

$$(M + C^{-m})^n - M^n = \sum_{s=1}^n \binom{n}{s} C^{-ms} M^{n-s} \leq nC^{-m}(Mn)^n$$

To get the last inequality, note that for each of the  $n$  terms in the summation,  $C^{-ms} \leq C^{-m}$ ,  $M^{n-s} \leq M^n$ ,  $\binom{n}{s} \leq n^n$ . There are at most  $2^{nk}$  possible edge assignments, and summing over all of them gives us a corresponding multiplicative factor. Therefore, the total error is upper-bounded by

$$nC^{-m}(Mn)^n 2^{nk}, \quad (4)$$

where  $M$  and  $C$  are some constants greater than 1, and  $m$  is a parameter we could choose. Now we need to choose a proper  $m$ , such that given the hypothesis of the theorem, we could compute  $\text{Holant}(f)$  in polynomial time.

Let  $S = \{0, 1, 2, \dots, k\}$ . Given an edge assignment of a Holant instance, the corresponding Holant value is a product of powers of these  $x_i$ 's. Hence the Holant of a given instance could be written as

$$\sum_{\mathbf{y} \in \{0, \dots, n\}^S} c_{\mathbf{y}} \prod_{i=0}^k x_i^{y_i},$$

where  $c_{\mathbf{y}} \in \{0, \dots, 2^{nk}\}$  is some integer indexed by  $\mathbf{y}$  corresponding to the number of edge assignments that values to  $\prod_{i=0}^k x_i^{y_i}$ . Since  $x_i$ 's are algebraic numbers of degree at most  $d$ , we could replace  $x_i^r$  ( $r \geq d$ ) with  $\sum_{j=0}^{d-1} c'_j x_i^j$ . It is easy to see that  $c'_j$ 's are rational numbers, and if we denote  $c_i$  as  $p_i/q_i$ , where  $(p_i, q_i) = 1$ , then  $|p_i|, |q_i| \in \{0, \dots, C^n\}$ , where  $C$  is a constant depending only on  $x_i$ 's. We do this for all the  $x_i$ 's, and we have

$$\sum_{\mathbf{y} \in \{0, \dots, n\}^S} c_{\mathbf{y}} \prod_{i=0}^k x_i^{y_i} = \sum_{\mathbf{z} \in \{0, \dots, d-1\}^S} c''_{\mathbf{z}} \prod_{i=0}^k x_i^{z_i} \triangleq \frac{1}{C_S} \sum_{i=1}^{d^S} w_i v_i \quad (5)$$



Here  $c''_{\mathbf{z}}$  are rational coefficients depending on  $x_i$ 's and  $c_{\mathbf{y}}$ 's, and if we denote it as  $p_{\mathbf{z}}/q_{\mathbf{z}}$ , and  $(p_{\mathbf{z}}, q_{\mathbf{z}}) = 1$ , then  $p_{\mathbf{z}}, q_{\mathbf{z}} \in \{-C'^{n^2}, \dots, C'^{n^2}\}$  where  $C'$  is a constant depending on  $S, d$  and  $x_i$ 's. In the last step in Equation (5), we take  $C_S$  as the least common multiple of the  $c''_{\mathbf{z}}$ 's, thus  $w_i$ 's are integers, and  $C_S$  and  $w_i$ 's are in  $\{-D^{n^2}, \dots, D^{n^2}\}$  where  $D$  is a constant depending on  $S, d$  and  $x_i$ 's,  $v_i$ 's are constants that corresponds to  $\prod_{i=1}^S x_i^{z_i}$ 's. If we can find a group of integer coefficients  $w_i$ 's such that the last summation equals the original Holant instance, then we are done. Let  $C_0$  be the constant guaranteed by Lemma D.3. The idea is to choose  $m$  in Equation (4), such that the error (4) is less than  $\frac{1}{3}C_0^{-n^2}$ . Such  $m$  is still a polynomial of  $n$ , and therefore we could approximate the Holant value in polynomial time. Also, different values of the form in Equation (5) are at least  $C_0^{-n^2}$  away, so the  $(-\frac{1}{3}C_0^{-n^2}, \frac{1}{3}C_0^{-n^2})$  neighbor of these values are disjoint, and therefore the true Holant value is and is only in one of them. We can now form an ILP $_{\mathbb{R}}$ , which has the coefficients  $w_i$ 's in Equation (5) as integer variables, and states that the  $(-\frac{1}{3}C_0^{-n^2}, \frac{1}{3}C_0^{-n^2})$  neighbor of the approximated value contains the RHS of (5). By solving this ILP $_{\mathbb{R}}$ , we can find out a set of coefficients  $w_i$ 's which gives the true Holant value as the RHS of (5).

*Remark.* The set of solutions may not be unique, but the above argument guarantees that the resulting sum is unique.  $\square$

**Lemma 5.5.** *Let  $b$  be a real algebraic number,  $b \neq 0, b \neq \pm 1, b \neq \frac{1}{3}$ . Let  $[1, 0, b_r, 0, 1]$  be the signature realized by the  $r$ -th recursive Tetrahedron gadget starting from  $[1, 0, b, 0, 1]$ . Let  $\beta = 0$  if  $b < \frac{1}{3}$ , and  $\beta = 1$  otherwise. Then  $|b_r - \beta| < C^{-2^r}$ , where  $C < 1$  is some constant. In other words, the recursive construction either converges to  $[1, 0, 0, 0, 1]$  or  $[1, 0, 1, 0, 1]$ , depending on whether  $b$  is smaller than  $\frac{1}{3}$  or not.*

*Proof.* We can rewrite the recursion  $b_r = \frac{2b_{r-1}^2}{3b_{r-1}^2 - 2b_{r-1} + 1}$  as  $b_r = \frac{2}{2 + (\frac{1}{b_{r-1}} - 1)^2}$ . And we further have

$$\frac{1}{2} \left( \frac{1}{b_r} - 1 \right) = \left( \frac{1}{2} \left( \frac{1}{b_{r-1}} - 1 \right) \right)^2 \quad \text{and finally} \quad \frac{1}{2} \left( \frac{1}{b_r} - 1 \right) = \left( \frac{1}{2} \left( \frac{1}{b} - 1 \right) \right)^{2^r}.$$

Depending on  $\frac{1}{2}(\frac{1}{b} - 1) > 1$  or  $\frac{1}{2}(\frac{1}{b} - 1) < 1$ , we have the recursion  $b_r$  converge of to 0 or 1 exponentially fast, which is exact what we need. The only exceptional case  $\frac{1}{2}(\frac{1}{b} - 1) = 1$  correspond to  $b = \frac{1}{3}$ , which was excluded in the statement of the lemma.  $\square$

## E Omitted Proof Segment in Section 6

Using the Tetrahedron gadget with  $[a, b, 1, c, -2 - a]$ , we realize a signature of  $[y_0, y_1, y_2, y_3, y_4]$  where

$$\begin{aligned} y_0 &= c^4 + 6c^2 + 4b^3c + 12bc + 3b^4 + 6a^2b^2 + 12ab^2 + 12b^2 + a^4 + 4a + 3, \\ y_1 &= -ac^3 + c^3 + 6bc^2 + 3ab^2c + 9b^2c + 2ab^3 + 4b^3 + a^3b + 3a^2b + 3ab + b, \\ y_2 &= c^4 + 2bc^3 + 2b^2c^2 + a^2c^2 + 2ac^2 + 3c^2 + 2b^3c + 4bc + b^4 + a^2b^2 + 2ab^2 \\ &\quad + 3b^2 + 2a^2 + 4a + 2, \\ y_3 &= -2ac^3 - 3abc^2 + 3bc^2 + 6b^2c - a^3c - 3a^2c - 3ac - c + ab^3 + 3b^3, \\ y_4 &= 3c^4 + 4bc^3 + 6a^2c^2 + 12ac^2 + 12c^2 + 12bc + b^4 + 6b^2 + a^4 + 8a^3 + 24a^2 \\ &\quad + 28a + 11. \end{aligned}$$

Using the Tetrahedron gadget with  $[a, b, 0, c, -a]$  gives a signature of  $[y_0, y_1, y_2, y_3, y_4]$  where

$$\begin{aligned} y_0 &= c^4 + 4b^3c + 3b^4 + 6a^2b^2 + a^4, \\ y_1 &= -ac^3 + 3ab^2c + 2ab^3 + a^3b, \\ y_2 &= c^4 + 2bc^3 + 2b^2c^2 + a^2c^2 + 2b^3c + b^4 + a^2b^2, \\ y_3 &= -2ac^3 - 3abc^2 - a^3c + ab^3, \\ y_4 &= 3c^4 + 4bc^3 + 6a^2c^2 + b^4 + a^4. \end{aligned}$$

It is not hard to verify that  $(y_0 + y_2) = -(y_2 + y_4)$  has no real solution for  $a$ ,  $b$  and  $c$ . So the problem reduce to a setting which has been proved.