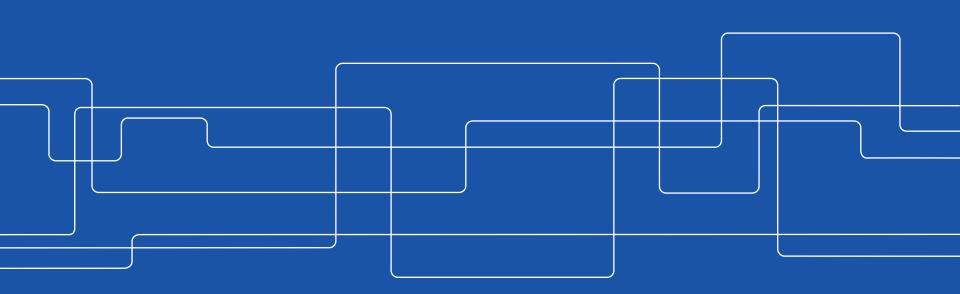


<u>Towards Automatic</u> <u>Concept-based Explanations</u>

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Outline

- Is saliency a well defined problem?
- What are the Concept Activation Vectors?
- Towards concept-based explanations



Is saliency a well defined problem?





What is saliency for DNNs?

3 different categories...

They all do the same...

Infer insights about the model by ranking the **input** features

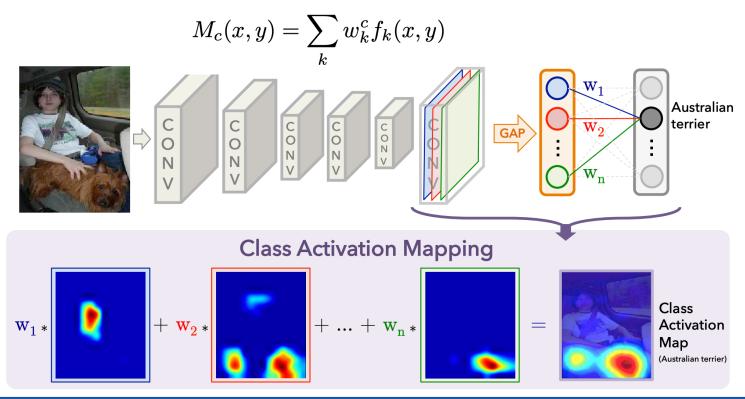
3 default axioms:

- 1. Completeness
- 2. Implementation invariance
- 3. Sensitivity



Class Activation Maps (CAM), Zhou et al., 2016

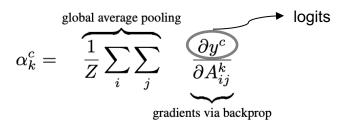
Idea: Project back the weights of the output layer on to the convolutional feature maps





Grad-CAM, Selvaraju et al., 2017

Idea: Don't use weights and activations, use the gradients.





"The (un)reliability of saliency methods", Kindermans et al., 2017

A **simple** input **transformation** causes most **saliency** methods to **fail**!

1 New axiom:

input invariance



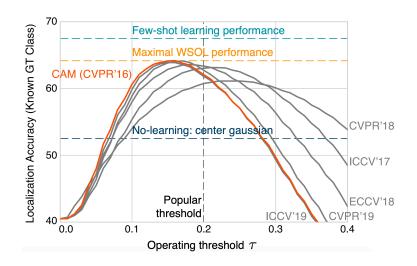
"Local explanation methods for deep neural networks lack sensitivity to parameter values", Adebayo et al., 2018

"DNNs with **randomly-initialized** weights produce **explanations** that are both visually and quantitatively **similar** to those produced by DNNs with **learned weights**"



"Evaluating Weakly Supervised Object Localization Methods Right", Choe et al., 2020

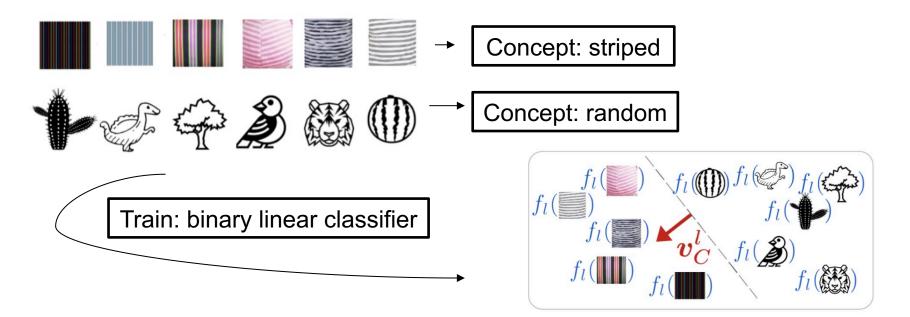
Insignificant improvements since Zhou et al., 2016 !!! It's all about hyper-parameter tuning!





What are Concept Activation Vectors (CAVs)?

It is the normal to a hyperplane separating examples with and without a concept.





Idea:

Project the derivatives to the direction of the concept

$$S_{C,k,l}(oldsymbol{x}) =
abla h_{l,k}(f_l(oldsymbol{x})) \cdot oldsymbol{v}_C^l$$

 $S_{C,kl}(x)$ can **quantitatively** measure the **sensitivity** of model predictions with respect to **concepts**



Testing with CAVs (TCAV):

$$\underline{\text{TCAV}_{Q_{C,k,l}}} = \frac{|\{\boldsymbol{x} \in X_k : S_{C,k,l}(\boldsymbol{x}) > 0\}|}{|X_k|}$$

The Fraction of k-class inputs whose I-layer activation vector was positively influenced by the concept C.

i.e. the average positive effect of a concept



With TCAVs we can:

- Sort images with respect to their relation to the concept
- Reveal biases
- See which layer learns which concept

Drawbacks:

- The user must specify the concept (this can be quite vague)
- Introduces human bias in the explanation process



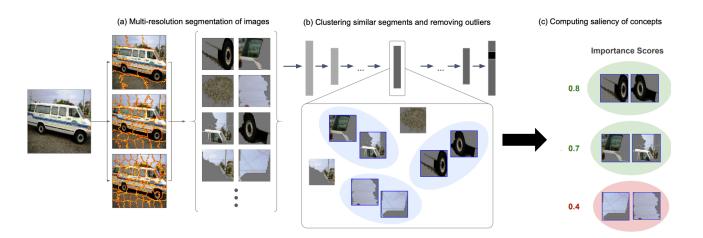
Concept-based Explanation **Desiderata**:

- Meaningfulness: An example of a concept is semantically meaningful on its own.
- 2. Coherency: Examples of a concept should be perceptually similar to each other and dissimilar from examples of other concepts.
- Importance: A concept is "important" for the prediction of a class if its presence is necessary for the true prediction of samples in that class.



Explanations in 3 steps:

- 1. Image **segmentation** using different scales.
- 2. **Clustering** of similar **segments** as examples of the same **concept**.
- 3. Testing with Concept Activation Vectors (TCAVs).

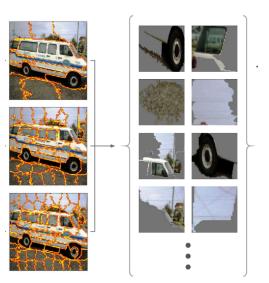




1. Image **segmentation** using different scales.

Procedure:

- i. Take all **images** from a **class**.
- ii. Rescale them to **3** different **resolutions**.
- iii. Use SLIC to get **segments**.

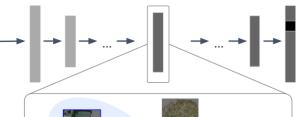


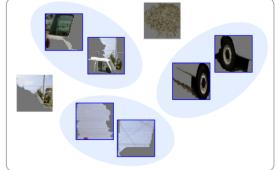


2. **Clustering** of similar **segments** as examples of the same **concept**.

Procedure:

- i. Take a model **pretrained** on ImageNet.
- ii. Compute the segment's **activations** at mid-high level layers*.
- iii. Do **K-means** clustering (Euclidean distance**) of the **segments**.
- iv. Remove outliers.





- * Earlier layers are better at similarity of textures and colors while latter ones are better for object.
- ** The Euclidean distance in the activation space of final layers is an effective perceptual similarity metric.



3. Testing with Concept Activation Vectors (TCAVs).

Procedure:

- i. Take all the **clusters**.
- ii. Treat them as **concepts**.
- iii. Apply **relative TCAVs**: Train the binary classifier using a **1-vs-all*** setting.

 Importance Scores

 0.8

 0.7

 0.7

 0.4

* Use one concept as primary and the rest as random images.







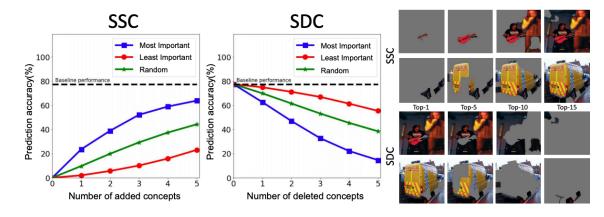


Figure 4: **Importance** For 1000 randomly sampled images in the ImageNet validation set, we start removing/adding concepts from the most important. As it is shown, the top-5 concepts is enough to reach within 80% of the original accuracy and removing the top-5 concepts results in misclassification of more than 80% of samples that are classified correctly. For comparison, we also plot the effect of adding/removing concepts with random order and with reverse importance order.





Figure 6: **Stitching important concepts** We test what would the classifier see if we randomly stitch important concepts. We discover that for a number classes this results in predicting the image to be a member of that class. For instance, basketball jerseys, zebra skin, lionfish, and king snake patterns all seem to be enough for the Inception-V3 network to classify them as images of their class.



• Why do they test only on ImageNet?

Feature extraction etc. are using ImageNet

- The human experiments are not so well designed. e.g. clustered segments vs random ones
- What if we change the the K in K-means? They use K=25
- What if we remove/add more scales?
- Inherits all the bad aspects of: segmentation, clustering, similarity metric, TCAVs.
 = the method is too noisy
- What happened to the Implementation invariance?



Thank you

