# CDCL vs Resolution 

Marc Vinyals

## DPLL

$$
\begin{array}{llllll}
y \vee z & y \vee \bar{z} & x \vee \bar{y} \vee z & x \vee \bar{y} \vee \bar{z} & \bar{x} \vee \bar{y}
\end{array}
$$

Algorithm 1: DPLL while not solved do
if conflict then backtrack() else if unit then propagate() else branch()

State: partial assignment

## DPLL

$$
y \vee z \quad y \vee \bar{z} \quad x \vee \bar{y} \vee z \quad x \vee \bar{y} \vee \bar{z} \quad \bar{x} \vee \bar{y}
$$

## Algorithm 1: DPLL while not solved do <br> if conflict then backtrack() else if unit then propagate() <br> else branch()

State: partial assignment


## Resolution

- Interpret DPLL run as resolution proof



## Resolution

- Interpret DPLL run as resolution proof

$$
\frac{C \vee v \quad D \vee \bar{v}}{C \vee D}
$$



## DPLL

$$
y \vee z \quad y \vee \bar{z} \quad x \vee \bar{y} \vee z \quad x \vee \bar{y} \vee \bar{z} \quad \bar{x} \vee \bar{y}
$$

## Algorithm 1: DPLL while not solved do <br> if conflict then backtrack() else if unit then propagate() <br> else branch()

State: partial assignment


## CDCL

$$
y \vee z \quad y \vee \bar{z} \quad x \vee \bar{y} \vee z \quad x \vee \bar{y} \vee \bar{z} \quad \bar{x} \vee \bar{y}
$$



## Resolution

- Interpret CDCL run as resolution proof



## Resolution

- Interpret CDCL run as resolution proof

$$
\frac{C \vee v \quad D \vee \bar{v}}{C \vee D}
$$



## CDCL vs Resolution

- CDCL implicit proofs are in resolution form
- DPLL proofs only in weaker "tree-like" resolution form
- There are formulas with polynomial resolution proofs but all tree-like proofs are exponential
- Is CDCL as powerful as general resolution?


## CDCL vs Resolution

- CDCL implicit proofs are in resolution form
- DPLL proofs only in weaker "tree-like" resolution form
- There are formulas with polynomial resolution proofs but all tree-like proofs are exponential
- Is CDCL as powerful as general resolution?
- Partial results in 2000s
[Beame, Kautz, Sabharwal '04]
[Van Gelder'05]
[Hertel, Bacchus, Pitassi, Van Gelder '08]
[Buss, Hoffmann, Johannsen '08]


## CDCL vs Resolution

- CDCL implicit proofs are in resolution form
- DPLL proofs only in weaker "tree-like" resolution form
- There are formulas with polynomial resolution proofs but all tree-like proofs are exponential
- Is CDCL as powerful as general resolution?
- Partial results in 2000s
[Beame, Kautz, Sabharwal '04]
[Van Gelder'05]
[Hertel, Bacchus, Pitassi, Van Gelder '08]
[Buss, Hoffmann, Johannsen '08]
- Yes (under natural model)
[Pipatsrisawat, Darwiche '09]
[Atserias, Fichte, Thurley '09]


## CDCL equivalent to Resolution: Results

## Theorem

With non-deterministic variable decisions, CDCL can efficiently find resolution proofs

## Theorem

[Atserias, Fichte, Thurley '09]
With random variable decisions, CDCL can efficiently find bounded-width resolution proofs

## CDCL equivalent to Resolution: Results

Theorem
[Pipatsrisawat, Darwiche '09]
With non-deterministic variable decisions, CDCL can efficiently find reproduce resolution proofs

## Theorem

[Atserias, Fichte, Thurley '09]
With random variable decisions, CDCL can efficiently find bounded-width resolution proofs

## CDCL equivalent to Resolution: Simulation

- Derivation $\pi=C_{1}, \ldots, C_{t}$.
- Goal: learn every clause $C_{i} \in \pi$.


## CDCL equivalent to Resolution: Simulation

- Derivation $\pi=C_{1}, \ldots, C_{t}$.
- Goal: leart absorb every clause $C_{i} \in \pi$.
- C absorbed if learning $C$ does not enable more unit propagations.


## CDCL equivalent to Resolution: Simulation

- Derivation $\pi=C_{1}, \ldots, C_{t}$.
- Goal: learn absorb every clause $C_{i} \in \pi$.
- C absorbed if learning $C$ does not enable more unit propagations.

Example
$x \vee y \vee z \quad x \vee y \vee \bar{z}$
$x \vee y$ not absorbed:

- if $x=0$ then would propagate $y$, but DB does not.


## CDCL equivalent to Resolution: Simulation

- Derivation $\pi=C_{1}, \ldots, C_{t}$.
- Goal: learh absorb every clause $C_{i} \in \pi$.
- C absorbed if learning $C$ does not enable more unit propagations.

Example
$x \vee y \vee z \quad x \vee y \vee \bar{z}$
$x \vee y$ not absorbed:

- if $x=0$ then would propagate $y$, but DB does not.

$$
x \vee z \quad y \vee z \quad x \vee y \vee \bar{z}
$$

$x \vee y$ is absorbed:

- if $x=0$ then propagate $z=1$ and $y=1$;
- if $y=0$ then propagate $z=1$ and $x=1$.


## CDCL equivalent to Resolution: Simulation

- Derivation $\pi=C_{1}, \ldots, C_{t}$.
- Goal: learh absorb every clause $C_{i} \in \pi$.
- C absorbed if learning $C$ does not enable more unit propagations.

```
Algorithm 3: Simulation
for }\mp@subsup{C}{i}{}\in\pi\mathrm{ do
    while Ci not absorbed do
        if conflict then
        learn()
        restart()
    else if unit then propagate()
        else assign a literal in C}\mp@subsup{C}{i}{}\mathrm{ to false
```


## CDCL equivalent to Resolution: Assumptions

```
for }\mp@subsup{C}{i}{}\in\pi\mathrm{ do
    while C }\mp@subsup{C}{i}{}\mathrm{ not absorbed do
        if conflict then
        learn()
        restart()
    else if unit then propagate()
    else assign a literal in C}\mp@subsup{C}{i}{}\mathrm{ to false
    restart()
```

- Optimal variable choices
- Clauses not thrown away
- Frequent restarts
- Standard learning


## CDCL equivalent to Resolution: Assumptions

```
for }\mp@subsup{C}{i}{}\in\pi\mathrm{ do
    while C C not absorbed do
        if conflict then
        learn()
        restart()
    else if unit then propagate()
    else assign a literal in C C to false
    restart()
```

- Optimal variable choices
- Clauses not thrown away
- Frequent restarts
- Standard learning


## CDCL equivalent to Resolution: Assumptions

```
for }\mp@subsup{C}{i}{}\in\pi\mathrm{ do
    while C C not absorbed do
        if conflict then
        learn()
        restart()
    else if unit then propagate()
    else assign a literal in C C to false
    restart()
```

- Optimal variable choices
- Clauses not thrown away
- Frequent restarts
- Standard learning


## CDCL equivalent to Resolution: Assumptions

```
for }\mp@subsup{C}{i}{}\in\pi\mathrm{ do
    while C}\mp@subsup{C}{i}{}\mathrm{ not absorbed do
        if conflict then
        learn()
        restart()
    else if unit then propagate()
    else assign a literal in C C to false
    restart()
```

- Optimal variable choices
- Clauses not thrown away
- Frequent restarts
- Standard learning


## CDCL equivalent to Resolution: Assumptions

```
for }\mp@subsup{C}{i}{}\in\pi\mathrm{ do
    while C}\mp@subsup{C}{i}{}\mathrm{ not absorbed do
        if conflict then
        learn()
        restart()
    else if unit then propagate()
    else assign a literal in C}\mp@subsup{C}{i}{}\mathrm{ to false
    restart()
```

- Optimal variable choices
- Clauses not thrown away
- Frequent restarts
- Standard learning
5hn


## CDCL equivalent to Resolution: Assumptions

```
for }\mp@subsup{C}{i}{}\in\pi\mathrm{ do
    while C C not absorbed do
        if conflict then
        learn()
        restart()
    else if unit then propagate()
    else assign a literal in C C to false
    restart()
```

- Optimal variable choices
- Clauses not thrown away
- Frequent restarts
- Standard learning


## Branching

Optimal variable choices are needed

- No deterministic algorithm simulates resolution unless FPT hierarchy collapses.
[Alekhnovich, Razborov '01]
- No deterministic algorithm simulates resolution unless $P=N P$.


## Branching

Optimal variable choices are needed

- No deterministic algorithm simulates resolution unless FPT hierarchy collapses.
[Alekhnovich, Razborov '01]
- No deterministic algorithm simulates resolution unless $P=N P$.
[Atserias, Müller '19]
- CDCL with any static order exponentially worse than resolution.
[Mull, Pang, Razborov '19]
- CDCL with VSIDS and similar heuristics exponentially worse than resolution.


## Hard Formulas for VSIDS

- Give a score $q=q(x)$ to variable $x$.
- At each conflict
- Bump $q^{\prime}=q+1$ if $x$ involved.
- Decay $q^{\prime}=0.95 \cdot q$ all variables.
- Pick variable with largest score


## Hard Formulas for VSIDS

- Give a score $q=q(x)$ to variable $x$.
- At each conflict
- Bump $q^{\prime}=q+1$ if $x$ involved.
- Decay $q^{\prime}=0.95 \cdot q$ all variables.
- Pick variable with largest score
- Easy part + Hard part.
- Pitfall gadget produces a conflict involving all hard variables.



## Hard Formulas for VSIDS

- Give a score $q=q(x)$ to variable $x$.
- At each conflict
- Bump $q^{\prime}=q+1$ if $x$ involved.
- Decay $q^{\prime}=0.95 \cdot q$ all variables.
- Pick variable with largest score
- Easy part + Hard part.
- Pitfall gadget produces a conflict involving all hard variables.



## Hard Formulas for VSIDS

- Give a score $q=q(x)$ to variable $x$.
- At each conflict
- Bump $q^{\prime}=q+1$ if $x$ involved.
- Decay $q^{\prime}=0.95 \cdot q$ all variables.
- Pick variable with largest score
- Easy part + Hard part.
- Pitfall gadget produces a conflict involving all hard variables.



## Hard Formulas for VSIDS

- Give a score $q=q(x)$ to variable $x$.
- At each conflict
- Bump $q^{\prime}=q+1$ if $x$ involved.
- Decay $q^{\prime}=0.95 \cdot q$ all variables.
- Pick variable with largest score
- Easy part + Hard part.
- Pitfall gadget produces a conflict involving all hard variables.
- Solver stuck with hard variables!



## Hard Formulas for VSIDS

- Give a score $q=q(x)$ to variable $x$.
- At each conflict
- Bump $q^{\prime}=q+1$ if $x$ involved.
- Decay $q^{\prime}=0.95 \cdot q$ all variables.
- Pick variable with largest score
- Easy part + Hard part.
- Pitfall gadget produces a conflict involving all hard variables.
- Solver stuck with hard variables!



## Hard Formulas for VSIDS

- Give a score $q=q(x)$ to variable $x$.
- At each conflict
- Bump $q^{\prime}=q+1$ if $x$ involved.
- Decay $q^{\prime}=0.95 \cdot q$ all variables.
- Pick variable with largest score
- Easy part + Hard part.
- Pitfall gadget produces a conflict involving all hard variables.
- Solver stuck with hard variables!



## Hard Formulas for VSIDS

- Give a score $q=q(x)$ to variable $x$.
- At each conflict
- Bump $q^{\prime}=q+1$ if $x$ involved.
- Decay $q^{\prime}=0.95 \cdot q$ all variables.
- Pick variable with largest score
- Easy part + Hard part.
- Pitfall gadget produces a conflict involving all hard variables.
- Solver stuck with hard variables!



## Hard Formulas for VSIDS

- Give a score $q=q(x)$ to variable $x$.
- At each conflict
- Bump $q^{\prime}=q+1$ if $x$ involved.
- Decay $q^{\prime}=0.95 \cdot q$ all variables.
- Pick variable with largest score
- Easy part + Hard part.
- Pitfall gadget produces a conflict involving all hard variables.
- Solver stuck with hard variables!


$$
\pi
$$

## CDCL equivalent to Resolution: Assumptions

```
for }\mp@subsup{C}{i}{}\in\pi\mathrm{ do
    while C C not absorbed do
        if conflict then
        learn()
        restart()
    else if unit then propagate()
    else assign a literal in C}\mp@subsup{C}{i}{}\mathrm{ to false
    restart()
```

- Optimal variable choices
- Clauses not thrown away
- Frequent restarts
- Standard learning


## Throwing Clauses Away

No great theoretical framework

- With nondeterministic erasures enough to keep only $n \ll L$ clauses in memory.
- But more are needed to simulate resolution:
- Keeping $<n$ clauses can exponentially blow-up runtime.
[Ben Sasson, Nordström '11]
- Keeping $\ll n^{k}$ clauses can superpolynomially blow-up runtime.
[Beame, Beck, Impagliazzo '12; Beck, Nordström, Tang '13]


## Throwing Clauses Away

No great theoretical framework

- With nondeterministic erasures enough to keep only $n \ll L$ clauses in memory.
[Esteban, Torán '01]
- But more are needed to simulate resolution:
- Keeping $<n$ clauses can exponentially blow-up runtime.
[Ben Sasson, Nordström '11]
- Keeping $\ll n^{k}$ clauses can superpolynomially blow-up runtime.
[Beame, Beck, Impagliazzo '12; Beck, Nordström, Tang '13]
- Keeping only narrow clauses can exponentially blow-up runtime.
[Thapen'16]
- What about clauses with low LBD?


## CDCL equivalent to Resolution: Assumptions

```
for }\mp@subsup{C}{i}{}\in\pi\mathrm{ do
    while C }\mp@subsup{C}{i}{}\mathrm{ not absorbed do
        if conflict then
        learn()
        restart()
    else if unit then propagate()
    else assign a literal in C}\mp@subsup{C}{i}{}\mathrm{ to false
    restart()
```

- Optimal variable choices
- Clauses not thrown away
- Frequent restarts
- Standard learning


## Frequent Restarts

Well-researched in theory, but still open

- Does useful work happen between restarts?


## Frequent Restarts

Well-researched in theory, but still open

- Does useful work happen between restarts?
- CDCL without restarts and non-greedy UP/conflicts simulates resolution.
[Beame, Kautz, Sabharwal '04]
- CDCL without restarts and preprocessing simulates resolution.
[Hertel, Bacchus, Pitassi, Van Gelder '08]


## Frequent Restarts

Well-researched in theory, but still open

- Does useful work happen between restarts?
- CDCL without restarts and non-greedy UP/conflicts simulates resolution.
[Beame, Kautz, Sabharwal '04]
- CDCL without restarts and preprocessing simulates resolution.
[Hertel, Bacchus, Pitassi, Van Gelder '08]
- CDCL without restarts between regular and standard resolution.


## CDCL and Regular Resolution

- Regular resolution: do not resolve a variable twice on same path.

$$
\mathrm{CDCL} \equiv \text { Res }
$$

$\stackrel{\text { No }}{\text { restarts }}$

Reg Res

## CDCL and Regular Resolution

- Regular resolution: do not resolve a variable twice on same path.
- Regular resolution exponentially weaker than general. (Exist formulas with short proofs but exponentially long regular proofs)



## CDCL $\equiv$ Res <br> $$
\begin{aligned} & \text { No } \\ & \text { restarts } \end{aligned}
$$

## CDCL and Regular Resolution

- Regular resolution: do not resolve a variable twice on same path.



## CDCL and Regular Resolution

- Regular resolution: do not resolve a variable twice on same path.


## CDCL $\equiv$ Res

- Regular resolution exponentially weaker than general.
(Exist formulas with short proofs but exponentially long regular proofs)
- Pool resolution $\simeq$ CDCL w/o restarts. [Van Gelder'05]
- Pool res $\geq$ Regular res $\Rightarrow$ Formulas that separate general and regular are good candidates to separate general and pool.
- All such formulas easy for pool resolution.

$$
\begin{array}{r}
\text { [Bonet, Buss, Johannsen '12] } \\
\text { [Buss, Kołodziejczyk '14] }
\end{array}
$$

## CDCL and Regular Resolution

- Regular resolution: do not resolve a variable twice on same path.


## CDCL $\equiv$ Res

- Regular resolution exponentially weaker than general.
(Exist formulas with short proofs but exponentially long regular proofs)
- Pool resolution $\simeq$ CDCL w/o restarts. [Van Gelder'05]
- Pool res $\geq$ Regular res $\Rightarrow$ Formulas that separate general and regular are good candidates to separate general and pool.
- All such formulas easy for pool resolution.

$$
\begin{array}{r}
\text { [Bonet, Buss, Johannsen '12] } \\
\text { [Buss, Kołodziejczyk '14] }
\end{array}
$$

- Formula with CDCL proof of length $L$ but requires $L+1 \mathrm{w} / \mathrm{o}$ restarts?


Reg Res

## CDCL equivalent to Resolution: Assumptions

```
for }\mp@subsup{C}{i}{}\in\pi\mathrm{ do
    while C }\mp@subsup{C}{i}{}\mathrm{ not absorbed do
        if conflict then
        learn()
        restart()
    else if unit then propagate()
    else assign a literal in C}\mp@subsup{C}{i}{}\mathrm{ to false
    restart()
```

- Optimal variable choices
- Clauses not thrown away
- Frequent restarts
- Standard learning


## Learning

- Any asserting learning scheme works.
- C asserting if unit after backtracking.
- 1UIP is asserting.


## Learning

- Any asserting learning scheme works.
- C asserting if unit after backtracking.
- 1UIP is asserting.
- Less overhead with decision learning scheme.
- Is decision faster than IUIP?
- What overhead is needed?


## Merge Resolution

- A resolution step is a merge if $C$ and $D$ share a literal.

| Merge | Not a merge |
| :---: | :---: |
| $x \vee y \vee z \quad x \vee y \vee \bar{z}$ |  |
| $x \vee y$ | $\frac{x \vee z \quad y \vee \bar{z}}{x \vee y}$ |

- Merge resolution: at least one premise either axiom or merge.


## Merge Resolution

- A resolution step is a merge if $C$ and $D$ share a literal.

| Merge | Not a merge |
| :---: | :---: |
| $x \vee y \vee z \quad x \vee y \vee \bar{z}$ |  |
| $x \vee y$ | $\frac{x \vee z \quad y \vee \bar{z}}{x \vee y}$ |

- Merge resolution: at least one premise either axiom or merge.
- Merge resolution 2.0: only reuse merges.
- 1UIP produces merge resolution proofs.
- Merge resolution can simulate standard resolution with $O(n)$ overhead.
- And $\Omega(n)$ overhead sometimes needed.
[Fleming, Ganesh, Kolokolova, Li, V]


## Tricky Formulas for Merge Resolution

$$
\begin{array}{lll}
\mathcal{W}: & w_{j}^{k}=w_{j}^{k+1} & \text { for } j \in[\ell], f \mathrm{fc} \\
\mathcal{X}: & \left(w_{\hat{i}, 1}=w_{\hat{i}, n}\right) \rightarrow\left(x_{i-1} \rightarrow x_{i}\right) & \text { for } i \in[m \ell]
\end{array}
$$

where $\hat{\imath}=i(\bmod \ell), x_{0}:=1, x_{n \ell}:=0, m \simeq n, \ell \simeq \log n$.


## Beyond Resolution

- How much should we focus on resolution anyway?
- Preprocessing $\Rightarrow$ introduce new variables $\Rightarrow$ extended resolution.
- ER as powerful as extended Frege $\Rightarrow$ no hope to analyse with current tools.


## Beyond Resolution

- How much should we focus on resolution anyway?
- Preprocessing $\Rightarrow$ introduce new variables $\Rightarrow$ extended resolution.
- ER as powerful as extended Frege $\Rightarrow$ no hope to analyse with current tools.
- Modern solvers use inprocessing, this is now a pressing issue.
- Can still study DRAT without new variables as a proof system (DRAT ${ }^{-}$).
- Many hard principles for resolution easy in DRAT ${ }^{-}$.
[Buss, Thapen'19]


## Take Home

- CDCL equivalent to Resolution
- But only under assumptions, not all reasonable


## Take Home

- CDCL equivalent to Resolution
- But only under assumptions, not all reasonable

Open Problems

- How to model space?
- Are restarts important?
- How much overhead do we need?


## Take Home

- CDCL equivalent to Resolution
- But only under assumptions, not all reasonable

Open Problems

- How to model space?
- Are restarts important?
- How much overhead do we need?


## Thanks!

